



May 24th, 2018

Finite element modeling of nanophotonic structures - Applications LAM Seminar

Guillaume Demésy¹ (+ many people from Institut Fresnel)

guillaume.demesy@fresnel.fr

¹ Aix-Marseille Université, CNRS, Centrale Marseille, **Institut Fresnel** UMR 7249, 13013 Marseille, France

Foreword: Fresnel on the map

OpenStreetMap Edit History Export

GPS Traces User Diaries Copyright Help About

43.3439, 5.4369

43.3399, 5.4121

Bicycle (GraphHopper) Go

Reverse Directions

Directions

Distance: 2.8km. Time: 0:13.
Ascend: 42m. Descend: 37m.

1. Continue 90m

2. Turn left 10m

3. Turn right 30m

4. Turn left onto Rue Frédéric Joliot Curie 90m

5. Turn right onto Lotissement Les Cyttises 120m

6. Turn right onto Lotissement Les Cyttises 90m

7. Turn left onto Rue Max Planck 120m

8. Turn left onto Rue Paul Langevin 300m

9. At roundabout, take exit 2 onto Rue Paul 70m

2 / 34

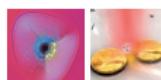
Foreword: Fresnel by themes

ELECTROMAGNÉTISME MÉTAMATÉRIAUX



- **Modèles et fondamentaux en électromagnétisme** (Analogie micro-onde, Nouvelles approches d'homogénéisation, Étude des effets de la dispersion, Non-linéarités spatiales)
- **Méthodes numériques** (Méthode intégrale de volume et forces optiques, Méthode des éléments finis, Méthode intégrale de surface, Méthode Monte Carlo et milieux diffusifs)
- **Réseaux de diffraction et fibres micro-structurées** (Analyse d'effets physiques, Filtres à résonance de mode guide, Fibres optiques micro-structurées, Conception de composants optiques)
- **Mématériaux, invisibilité et protection** (métamatériaux en optique et micro-ondes, métamatériaux en acoustique et mécanique, Protections hydrodynamique et sismique, Chaleur et mirmétisme, Application des métamatériaux au bio-médical)

NANOPHOTONIQUE COMPOSANTS OPTIQUES



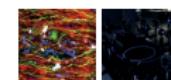
- **Interactions lumière-matière aux échelles nanométriques** (Aspects fondamentaux de la mécanique quantique, Emission exaltée par des nanoantennes, Contrôle nano-optique de la directivité d'émission)
- **Thermoplasmonique et nano-résonateurs optiques** (Absorption de lumière et thermoplasmonique, Théories multipolaires et modales, Nano-photonique sur particules diélectriques)
- **Couches minces optiques** (Filtres optiques interférentiels à hautes performances, Composante et concepts innovants, Métrologie extrême et diffusion lumineuse, nouveaux instruments et procédés)
- **Interaction laser-matière aux forts flux** (Etude des processus physiques de l'interaction laser-matière aux forts flux, Composants optiques pour lasers de puissance, Procédés laser)

TRAITEMENT DE L'INFORMATION ONDES ALÉATOIRES



- **Polarisation et cohérence optique** (Milieux désordonnés et aléatoires, Optique statistique, Instrumentation...)
- **Télécommunications et traitement d'antenne** (Réseaux de capteurs, Systèmes de communication optique sans fil, Cryptographie quantique...)
- **Traitements et modèles pour la Télédétection** (Interactions onde / surface océanique, Imagerie hyper-spectrale, Imagerie SAR polarimétrique et inter-férométrique, Imagerie sous-marine...)
- **Eléments méthodologiques pour l'image et le signal multi-dimensionnel** (Segmentation et poursuite pour les images bruitées, Biométrie et reconnaissance de gestes, Imagerie médicale, Segmentation ultra-rapide...)

IMAGERIE AVANCÉE VIVANT



- **Instrumentation** (Techniques de microscopie optique, Fibres optiques pour la spectroscopie et l'endoscopie, Instrumentation et caractérisation en hyperfréquence, Autres développements en instrumentation...)
- **Reconstruction numérique** (Microscopie tomographique diffractive optique, Tomographie micro-ondes, Tomographie photo-acoustique quantitative, Microscopie de fluorescence à illumination structure, Imagerie X cohérente, Caractérisation multiéchelle)
- **Etude du vivant** (Imagerie des tissus, Imagerie des structures biologiques à l'échelle cellulaire, Imagerie quantitative de phase et de température en milieu cellulaire, Nouvelles sondes moléculaires et inorganiques pour l'imagerie biologique)

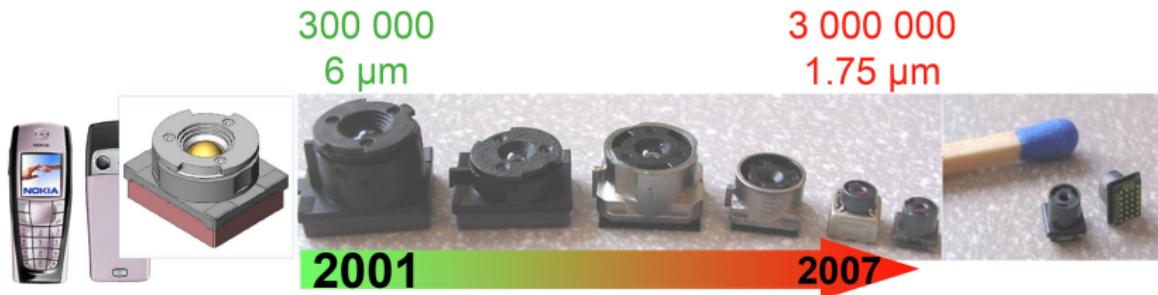
Foreword: Picking an appropriate model

... $\xrightarrow{\text{homogeneous } \epsilon_r, \mu_r}$ Electromagnetism $\xrightarrow{\text{paraxial}}$ Beam/Fourier Optics \longrightarrow Ray Optics

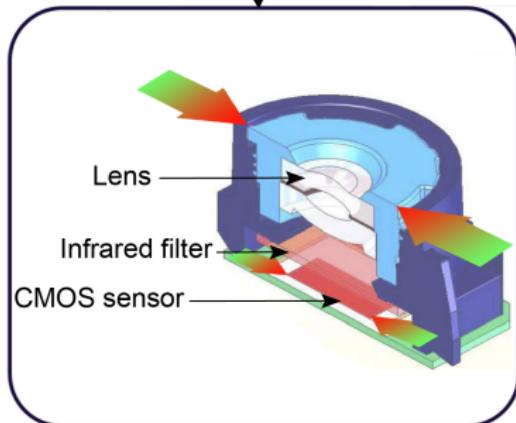
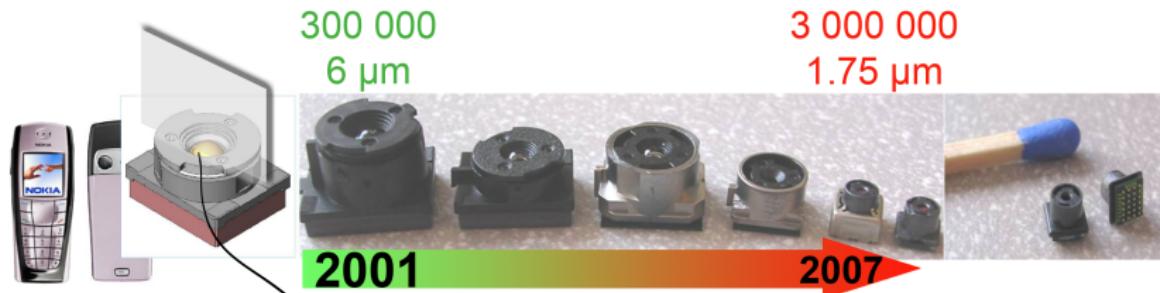
Outline

- 1** Introductory example: Miniaturization of CMOS color sensors and spectral filtering
- 2** Finite element modeling
- 3** Demo!
- 4** Selected applications
- 5** If I have some time left...

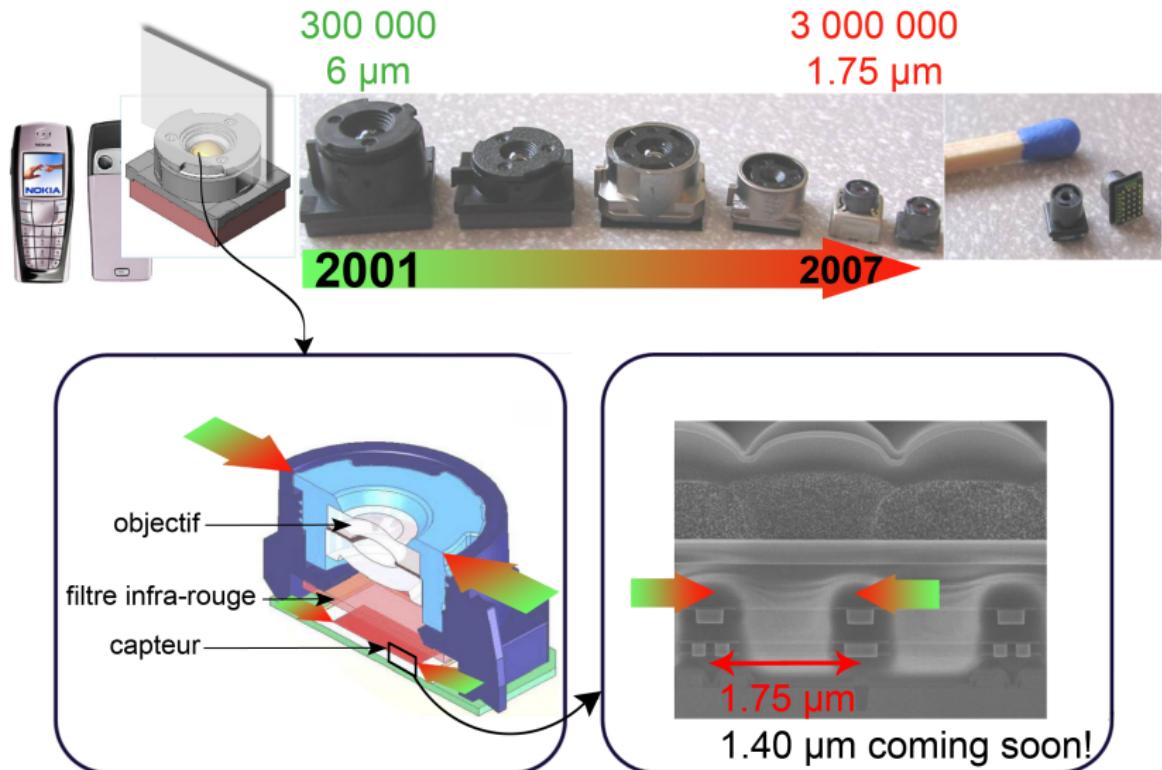
Drastic reduction of the pixel pitch in CMOS “cheap” sensors



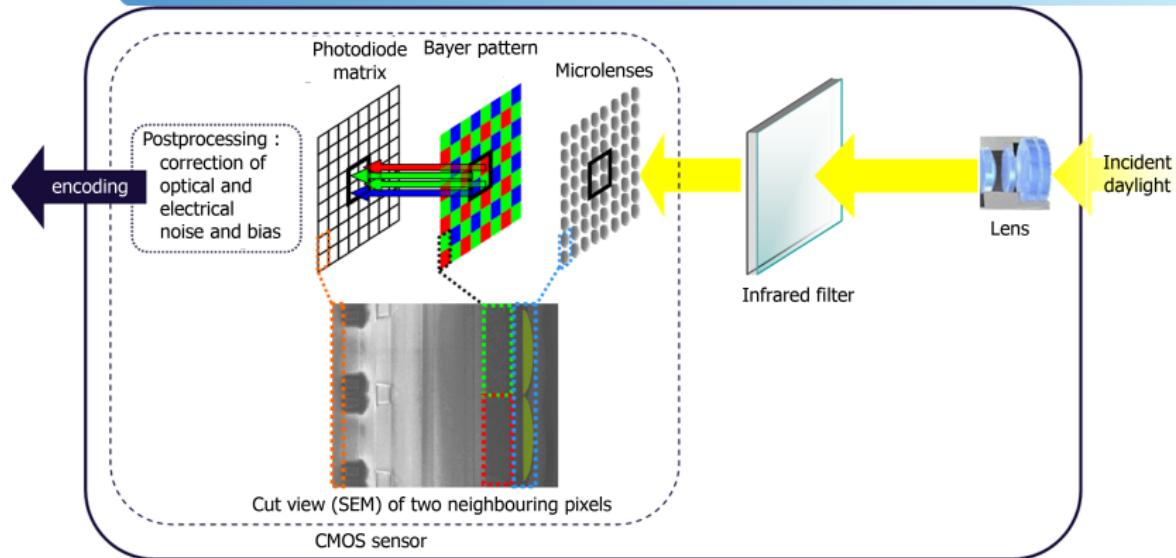
Drastic reduction of the pixel pitch in CMOS “cheap” sensors



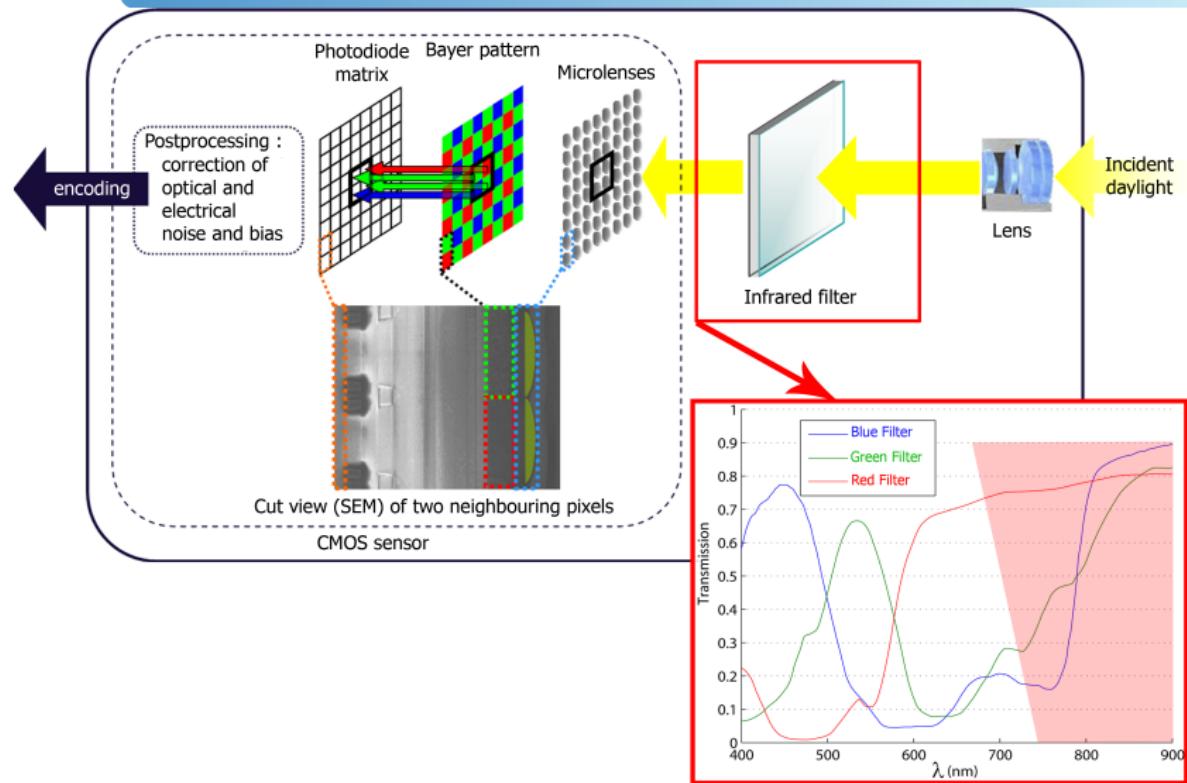
Drastic reduction of the pixel pitch in CMOS “cheap” sensors



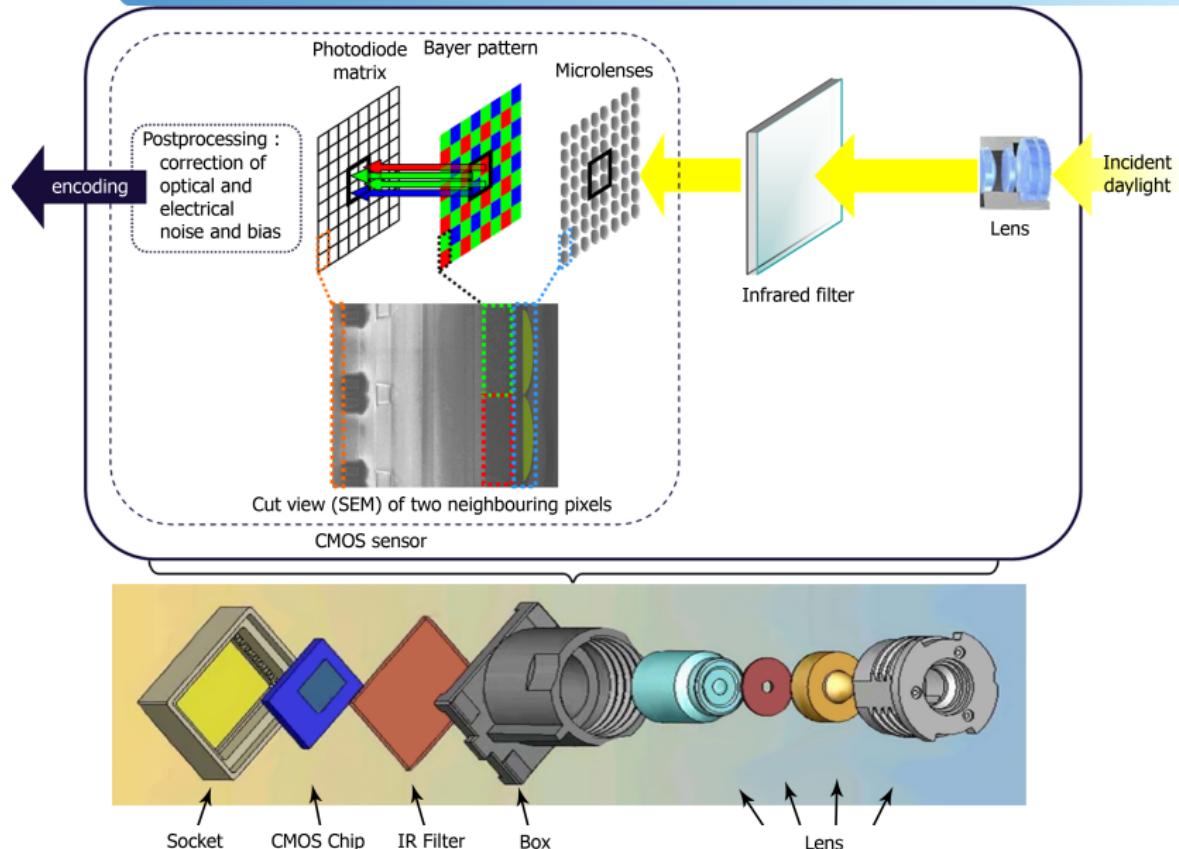
Constitutive elements of a complete camera



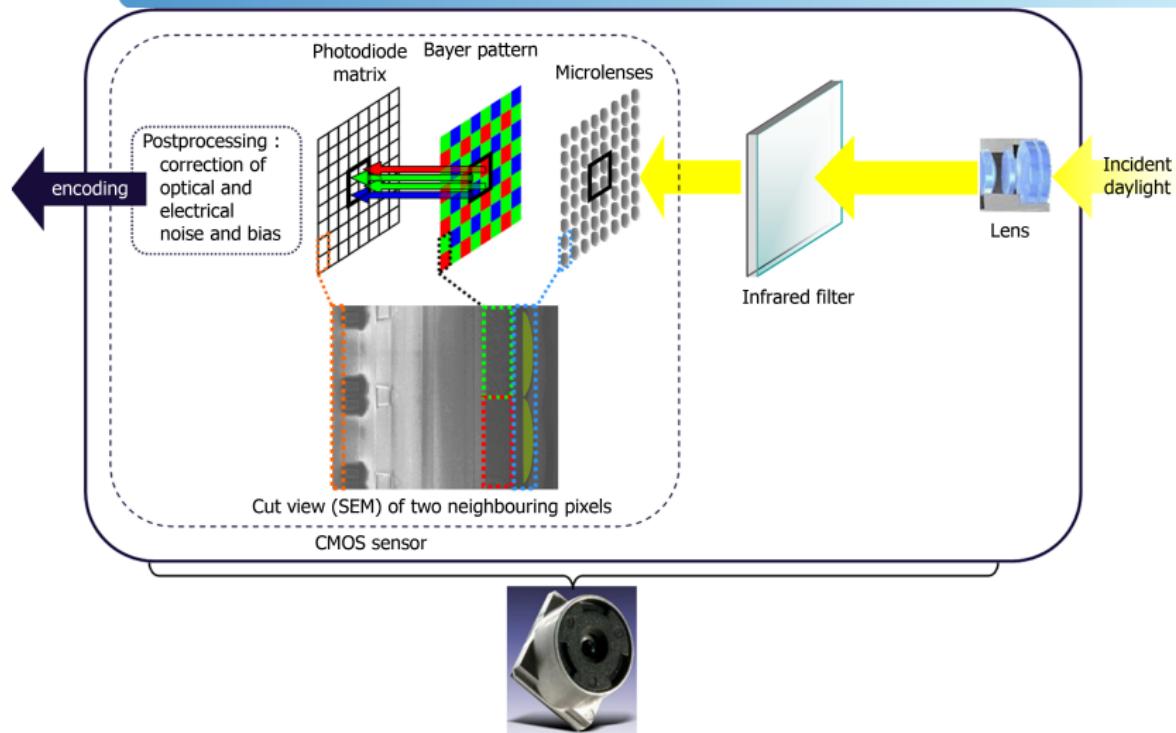
Constitutive elements of a complete camera



Constitutive elements of a complete camera



Constitutive elements of a complete camera



Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:

Modeling issues

- Rising of diffraction

Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness

Modeling issues

- Rising of diffraction

Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist

Modeling issues

- Rising of diffraction

Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction

Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction

USING METALLIC CROSSED-GRATINGS

Unavoidable consequences of the miniaturization

CMOS imagers: A complex diffraction gratings.

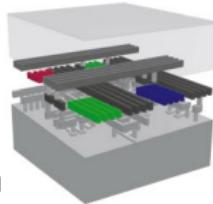
OPTICAL and technological issues

- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction

USING METALLIC CROSSED-GRATINGS



Example¹

¹Catrysse *et al.*, J. Opt. Soc. Am. A **20**(12), 2003

Unavoidable consequences of the miniaturization

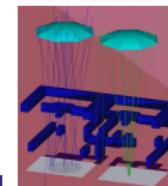
CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

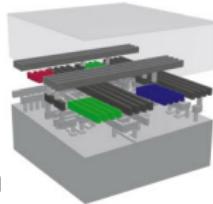
- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction
 - Snell-Descartes laws no longer valid



USING METALLIC CROSSED-GRATINGS



Example¹

¹Catrysse *et al.*, J. Opt. Soc. Am. A **20**(12), 2003

Unavoidable consequences of the miniaturization

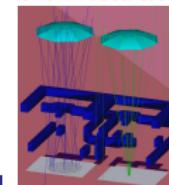
CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

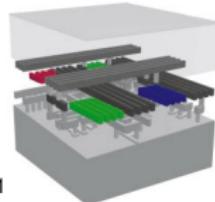
- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction
 - Snell-Descartes laws no longer valid



USING METALLIC CROSSED-GRATINGS



Example¹

SOLVING MAXWELL EQUATIONS

¹Catrysse *et al.*, J. Opt. Soc. Am. A **20**(12), 2003

Unavoidable consequences of the miniaturization

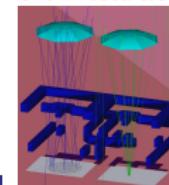
CMOS imagers: A complex diffraction gratings.

OPTICAL and technological issues

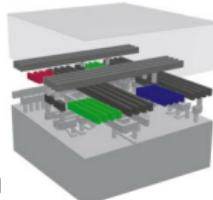
- Reduction of pixel pitch = transverse dimensions.
- Unchanged thickness:
 - absorbing color resins $\approx 50\%$ of the stack thickness
 - Transmission spectral profile set by thickness
- Temperature resist
 - Filters far from the photodiode

Modeling issues

- Rising of diffraction
 - Snell-Descartes laws no longer valid



USING METALLIC CROSSED-GRATINGS



Example¹

SOLVING MAXWELL EQUATIONS

Requires a very flexible and general method.

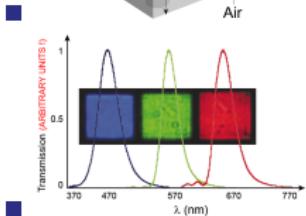
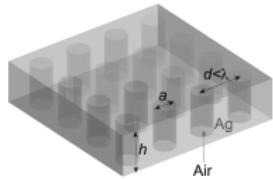
¹Catrysse *et al.*, J. Opt. Soc. Am. A **20**(12), 2003

Subwavelength filtering in the visible range.

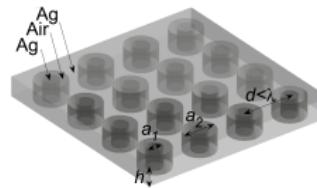
Independently from CMOS imagers domain

Some bibliographical leads on 0th-order diffractive spectral filtering independent from incident polarization, angle, in the visible frequency range:

- Crossed-gratings made of cylindrical holes ¹ in a thin silver layer



- Crossed-gratings made of cylindrical annular apertures ² in a thin silver layer

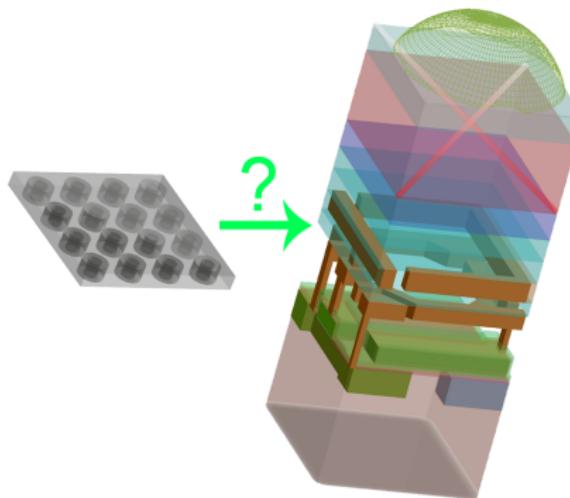


- Bandpass spectral profile with a 90% transmission at $\lambda = 700 \text{ nm}$

¹Barnes *et al.*, Nature, 424, 2003

²Poujet *et al.*, Opt. Lett., 32(20), 2007

Application to a CMOS pixel

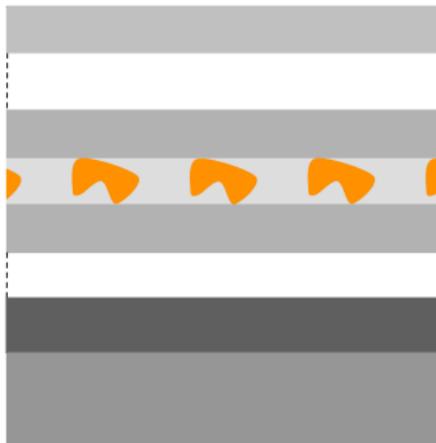


- Looking for resonant phenomena inside a 3D complex structure
- Requires a model able to represent closely both the geometry and constitutive materials of the pixel pixel
- A 2D step is essential.

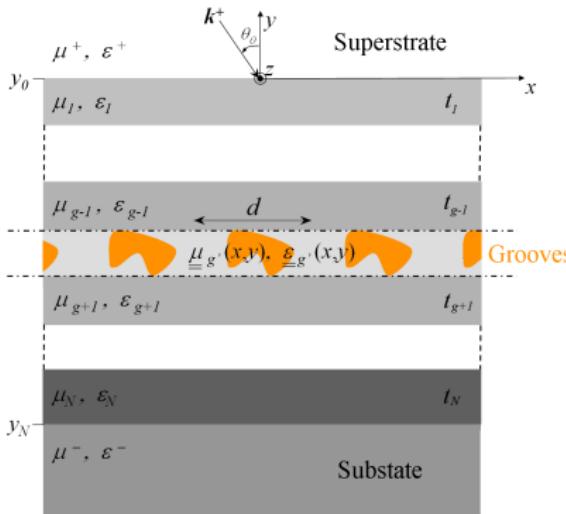
Part 2

- 1** Introductory example: Miniaturization of CMOS color sensors and spectral filtering
- 2** Finite element modeling
- 3** Demo!
- 4** Selected applications
- 5** If I have some time left...

A diffracted field formulation of the FEM



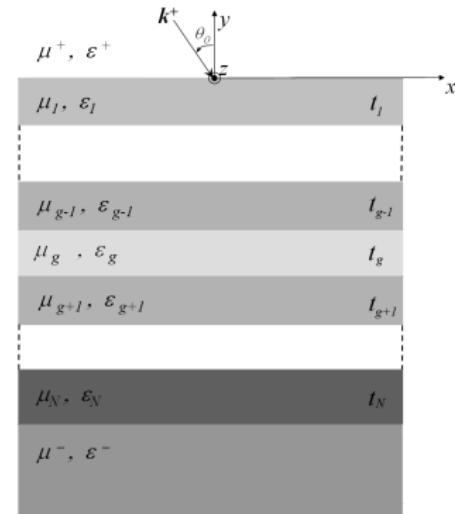
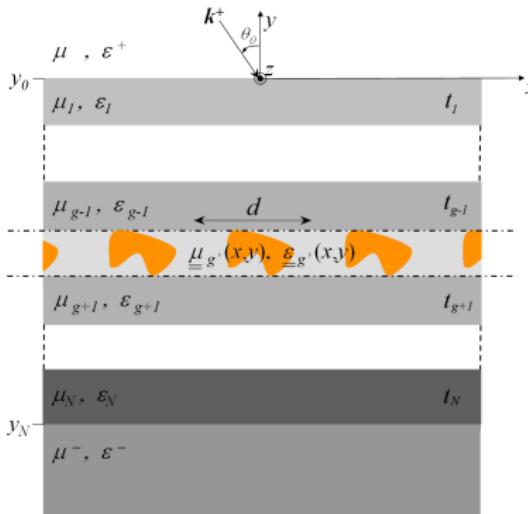
A diffracted field formulation of the FEM



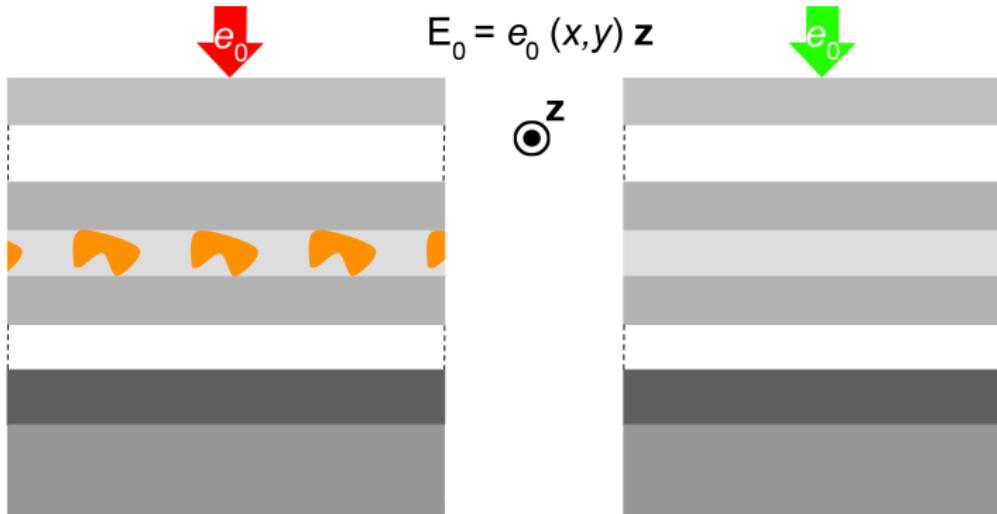
Find the unique (\mathbf{E}, \mathbf{H}) solution of:

$$\begin{cases} \operatorname{curl} \mathbf{E} = i\omega\mu_0\mu \mathbf{H} \\ \operatorname{curl} \mathbf{H} = -i\omega\epsilon_0\epsilon \mathbf{E} \end{cases}$$

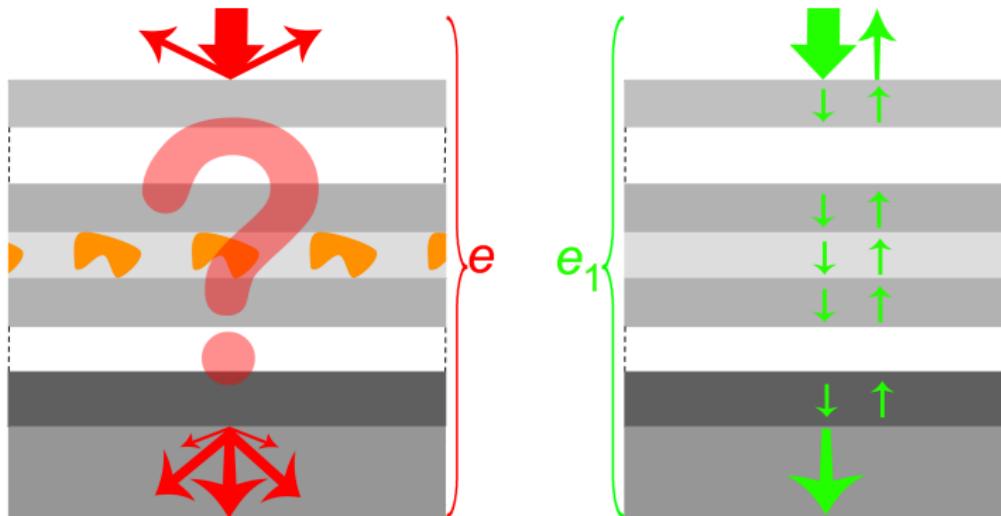
A diffracted field formulation of the FEM



A diffracted field formulation of the FEM



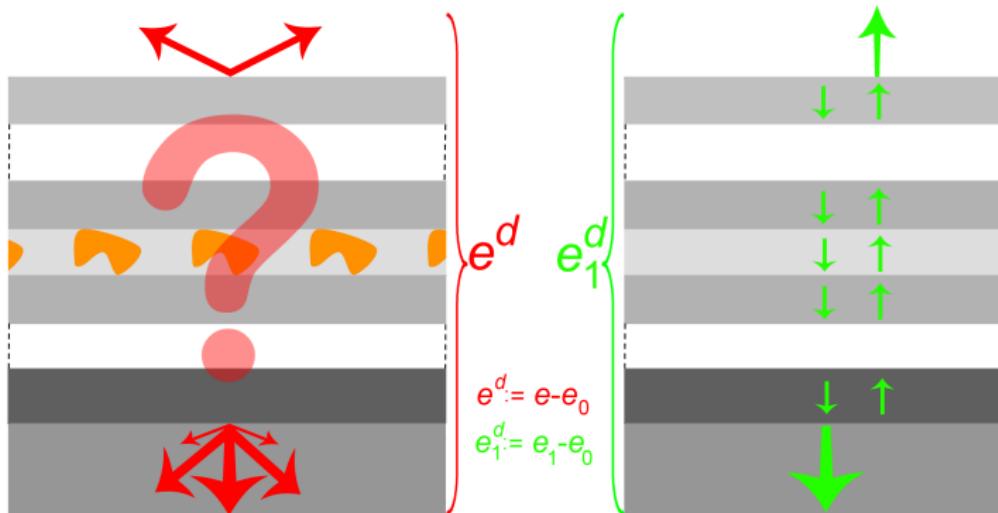
A diffracted field formulation of the FEM



$$\operatorname{div}(\mu(x, y) \operatorname{grad} e) + \kappa_0^2 \varepsilon(x, y) e = 0$$

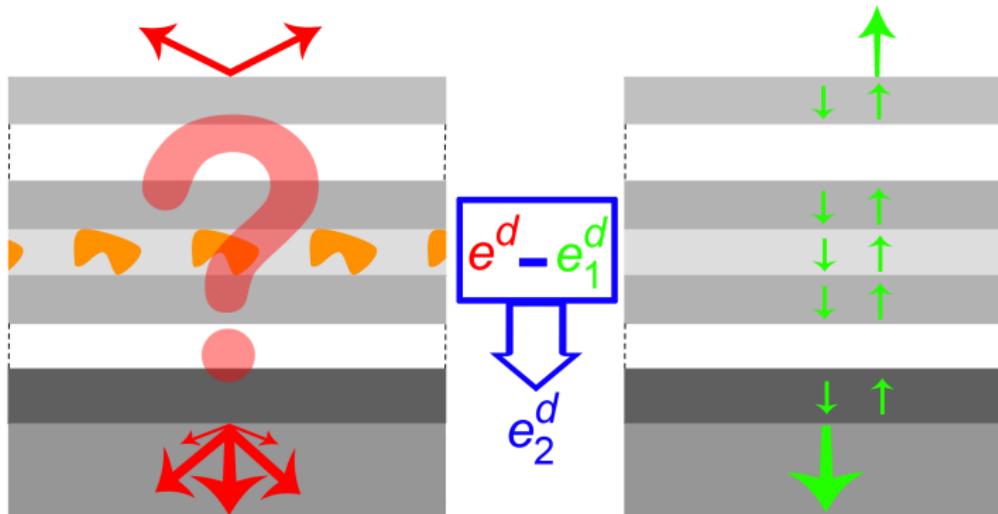
$$\operatorname{div}(\mu_1(y) \operatorname{grad} e_1) + \kappa_0^2 \varepsilon_1(y) e_1 = 0$$

A diffracted field formulation of the FEM



$$\operatorname{div}(\mu(x, y) \operatorname{grad} e^d) + k_0^2 \varepsilon(x, y) e^d = 0 \quad (1) \quad \operatorname{div}(\mu_1(y) \operatorname{grad} e_1^d) + k_0^2 \varepsilon_1(y) e_1^d = 0 \quad (2)$$

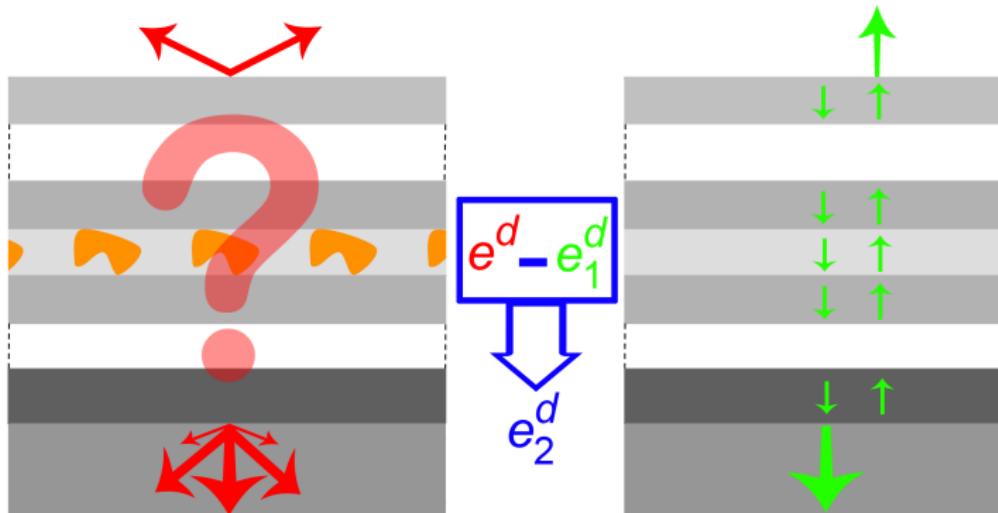
A diffracted field formulation of the FEM



$$\operatorname{div}(\mu(x,y) \operatorname{grad} e^d) + k_0^2 \varepsilon(x,y) e^d = 0 \quad (1) \quad \operatorname{div}(\mu_1(y) \operatorname{grad} e_1^d) + k_0^2 \varepsilon_1(y) e_1^d = 0 \quad (2)$$

$$e_2^d := e - e_1 = e^d - e_1^d \quad (3)$$

A diffracted field formulation of the FEM

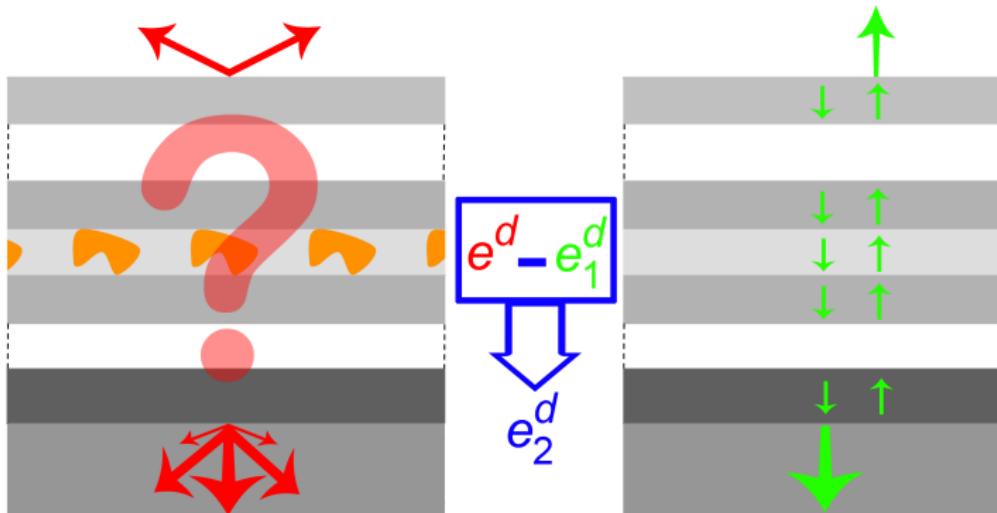


$$\operatorname{div}(\mu(x,y) \operatorname{grad} e^d) + k_0^2 \varepsilon(x,y) e^d = 0 \quad (1) \quad \operatorname{div}(\mu_1(y) \operatorname{grad} e_1^d) + k_0^2 \varepsilon_1(y) e_1^d = 0 \quad (2)$$

$$e_2^d := e - e_1 = e^d - e_1^d \quad (3)$$

$$(1) - (2) \implies \operatorname{div}(\mu(x,y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x,y) e_2^d = \boxed{S(x,y)}$$

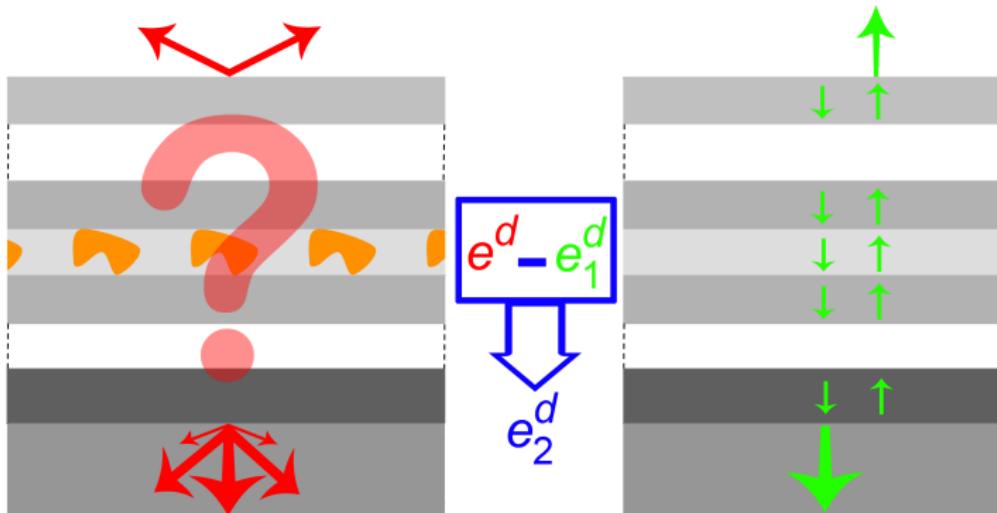
A diffracted field formulation of the FEM



$$\operatorname{div}(\mu(x,y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x,y) e_2^d = S(x,y)$$

S is localized in and only depends on:

A diffracted field formulation of the FEM

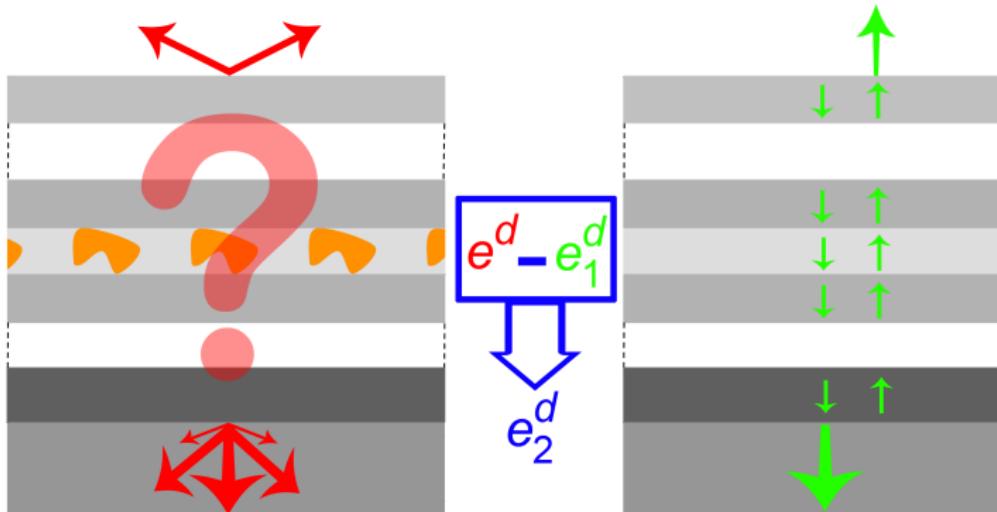


$$\operatorname{div}(\mu(x,y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x,y) e_2^d = S(x,y)$$

S is localized in and only depends on:

- e_1^d (known)

A diffracted field formulation of the FEM

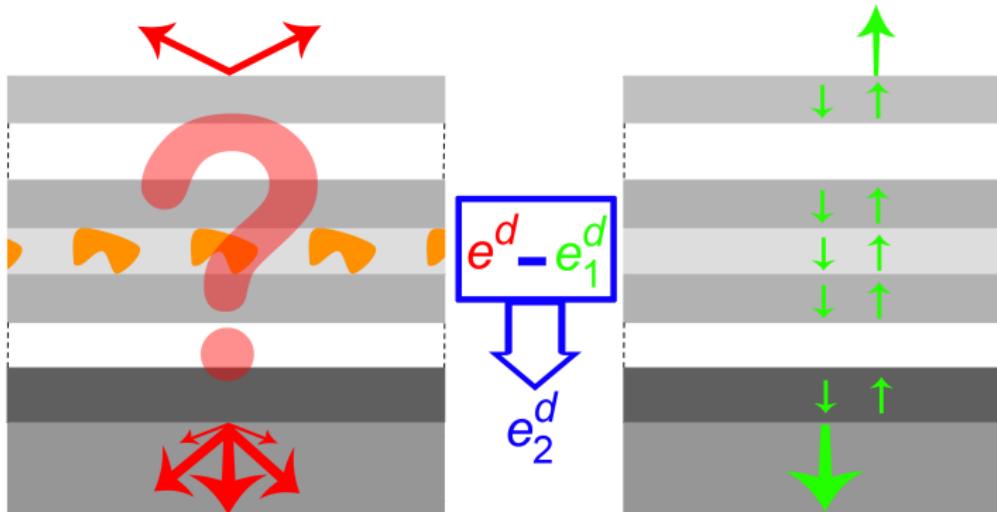


$$\operatorname{div}(\mu(x,y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x,y) e_2^d = S(x,y)$$

S is localized in and only depends on:

- e_1^d (known)
- Properties of the diffractive element.

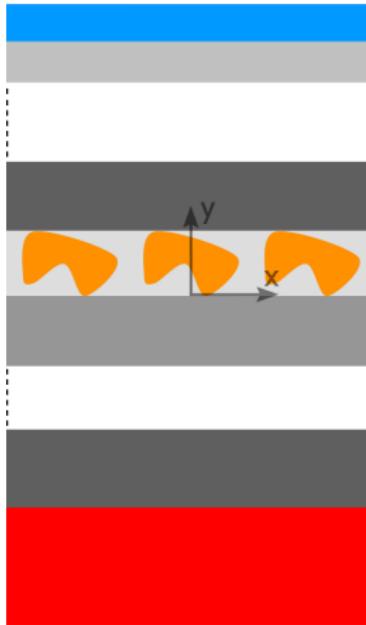
A diffracted field formulation of the FEM



$$\operatorname{div}(\mu(x,y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x,y) e_2^d = S(x,y)$$

S is localized in and only depends on:

- e_1^d (known)
- Properties of the diffractive element.
- Properties of the g^{th} layer.

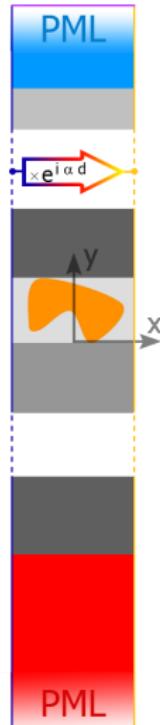
Sum up of the principle of *removal of “infinite” issues*¹ – Computational Domain

¹ Demésy *et al.*, Optics Express **15**, 2007

Sum up of the principle of *removal of “infinite” issues*¹ – Computational Domain

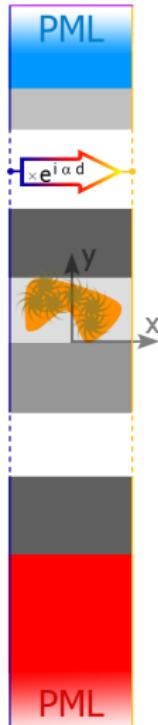
- Infinity of periods + quasi-periodicity of the incident plane wave
→ Quasi-periodic (or Bloch) conditions

¹ Demésy *et al.*, Optics Express **15**, 2007

Sum up of the principle of *removal of “infinite” issues*¹ – Computational Domain

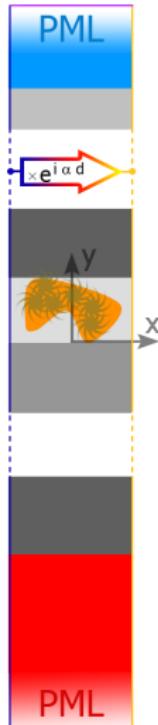
- Infinity of periods + quasi-periodicity of the incident plane wave
→ Quasi-periodic (or Bloch) conditions
- Infinite Substrate/Superstrate
→ Rectangular PML

¹ Demésy *et al.*, Optics Express **15**, 2007

Sum up of the principle of *removal of “infinite” issues*¹ – Computational Domain

- Infinity of periods + quasi-periodicity of the incident plane wave
→ Quasi-periodic (or Bloch) conditions
- Infinite Substrate/Superstrate
→ Rectangular PML
- Plane wave sources at infinity
→ Equivalence to a radiative problem with localized sources

¹ Demésy *et al.*, Optics Express **15**, 2007

Sum up of the principle of *removal of “infinite” issues*¹ – Computational Domain

- Infinity of periods + quasi-periodicity of the incident plane wave
→ Quasi-periodic (or Bloch) conditions
- Infinite Substrate/Superstrate
→ Rectangular PML
- Plane wave sources at infinity
→ Equivalence to a radiative problem with localized sources
- Meshing of the structure: 2nd order nodal elements
- Weak form associated to equation (3)
- Solving thanks to a direct solver adapted to sparse matrix (MUMPS)

¹ Demésy *et al.*, Optics Express 15, 2007

Weak formulation and discrete problem

- For example, let us consider nodal elements (first order = circus big top) built on a triangular mesh m with its set of nodes is denoted N .
- Projection of the field e_2^d on this (non-orthogonal) basis:

$$e_2^{d,m}(x, y) = \sum_{i \in N} \alpha_i \lambda_i(x, y)$$

- "Weak form" of the problem:

$$\mathcal{R}_{\mu, \varepsilon}(e_2^d, e') = - \int_{\Omega} (\mu \nabla e_2^d) \cdot \nabla \bar{e}' + k_0^2 \varepsilon e_2^d \bar{e}' d\Omega + \int_{\partial\Omega} \bar{e}' (\mu \nabla e_2^d) \cdot \mathbf{n} |_{\partial\Omega} dS \quad (1)$$

- e_2^d , solution of the radiation problem, is therefore the element of $L^2(\text{curl}, d, k)$ of quasiperiodic functions (i.e. such that $u(x, y) = u_{\#}(x, y)e^{ikx}$ with $u_{\#}(x, y) = u_{\#}(x + d, y)$, a d -periodic function of $H^1(\text{div})$) on Ω such that:

$$\forall e' \in H^1(\text{div}, d, k), \mathcal{R}_{\mu, \varepsilon}(e_2^d, e') = -\mathcal{R}_{\mu - \mu_1, \varepsilon - \varepsilon_1}(e_1, e'). \quad (2)$$

- According to the Galerkin formulation, we choose the set of basis function λ_i as set of weight function e' , which leads to a final algebraic system of the form:

$$A \begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{bmatrix} = b \text{ where } A \text{ is a sparse matrix.}$$

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

Arbitrary geometry: an example of edge detection

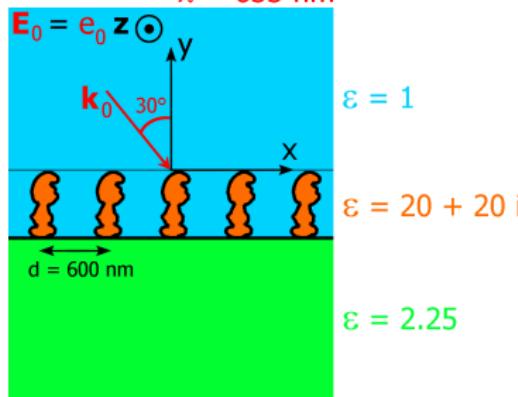


Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

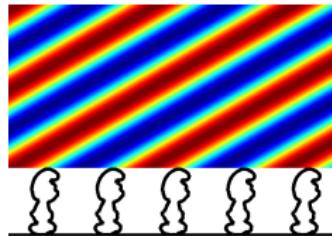
Studied configuration: TM case, oblique incidence, strong losses

$$\lambda = 633 \text{ nm}$$



Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance



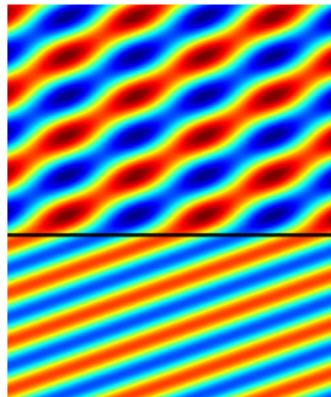
$$e_0(x, y) = \exp(i(\alpha x + \beta^{sup} y))$$



$\Re e[e_0]$, Incident plane wave

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

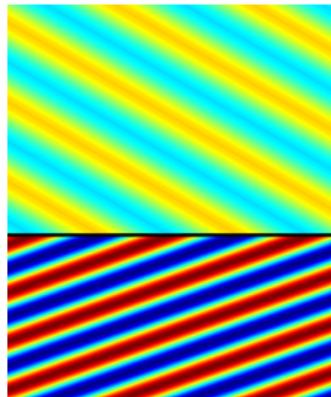


$$\mathbf{e}_1(x, y) = \mathbf{e}_0(x, y) + \exp(i\alpha x) \begin{cases} r \exp(-i\beta^{sup} y) & \text{for } y > 0 \\ t \exp(i\beta^{sub} y) & \text{for } y < 0 \end{cases}$$

$\Re[\mathbf{e}_1]$, Total field solution of the ancillary problem (plane diopter)

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance



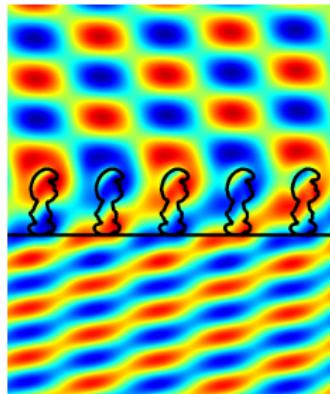
$$e_1^d(x, y) = \exp(i\alpha x) \begin{cases} r \exp(-i\beta^{sup} y) & \text{for } y > 0 \\ t \exp(i\beta^{sub} y) & \text{for } y < 0 \end{cases}$$

 $\Re e[e_1^d]$

, Field “diffracted” by the plane dioptr

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance



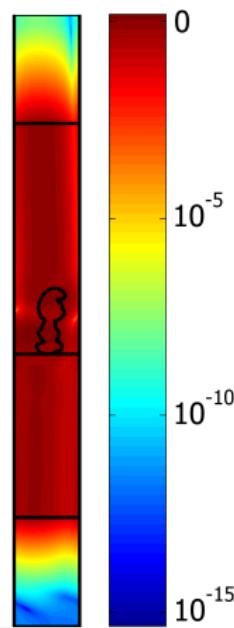
$$S(x, y) = k_0^2 (\varepsilon_{smurf} - \varepsilon_{air}) \exp(\alpha x + \beta^{sup} y) \\ + k_0^2 (\varepsilon_{smurf} - \varepsilon_{air}) r \exp(\alpha x - \beta^{sup} y)$$

$$\operatorname{div}(\mu(x, y) \operatorname{grad} e_2^d) + k_0^2 \varepsilon(x, y) e_2^d = \boxed{S(x, y)}$$

$\Re e[e_2^d]$, FEM-calculated field or radiated field

Energetic considerations

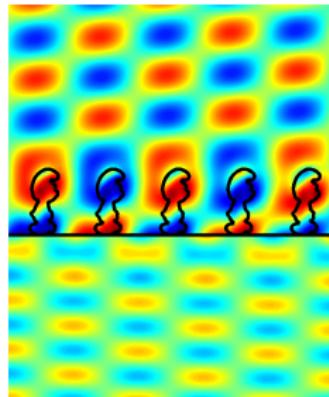
Diffraction Efficiencies – Losses – Energy Balance



$$\log(|e_2^d|)$$

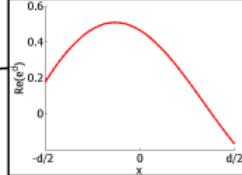
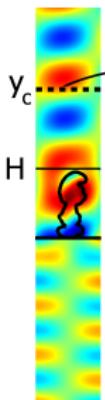
Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

 $\Re[e^d]$, Diffracted field

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

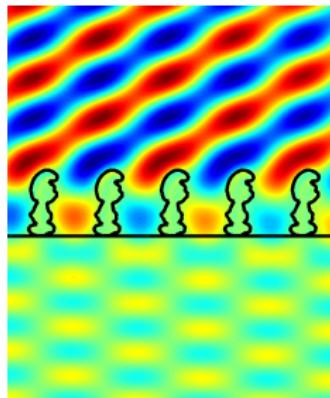


- $R_n := r_n \bar{r}_n \frac{\beta_n^+}{\beta^+}$ for $y_c > H$
- $r_n = \frac{1}{d} \int_{-d/2}^{d/2} e^d(x, y_c) e^{-i(\alpha_n x + \beta_n^+ y_c)} dx$
- Reflected propagative orders: $R_0 = 0.1138$ and $R_1 = 0.1846$

$\Re[e^d]$, Diffracted field

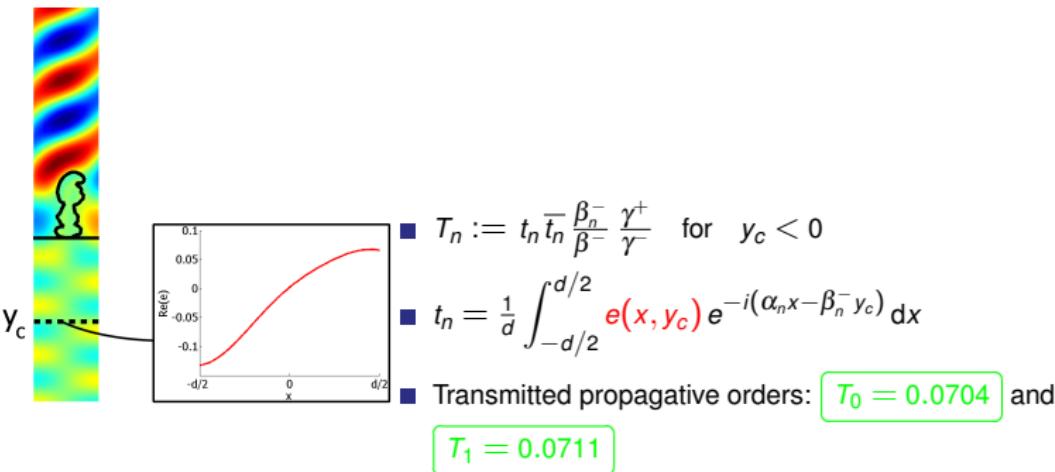
Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

 $\Re e[e]$, Total field

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

 $\Re e[e]$, Total field

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance

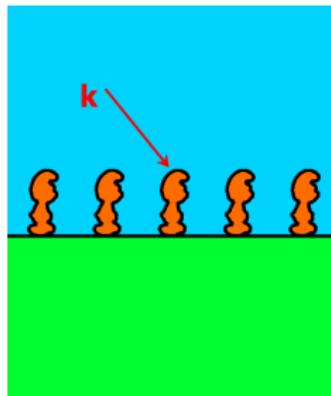


- Losses : $\frac{1}{2} \int_{smurf} \omega \varepsilon_0 \varepsilon'' |e(x, y)|^2 dx dy$
- Losses (in fraction of incident energy) : $Q = 0.5601$

$$\frac{1}{2} \sigma |e|^2$$

Energetic considerations

Diffraction Efficiencies – Losses – Energy Balance



Energy Balance:

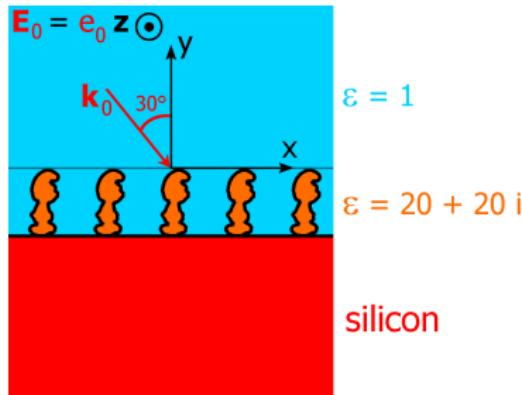
$$R + T + Q = (R_0 + R_1) + (T_0 + T_1) + Q =$$

+	0.1138
+	0.1846
+	0.0704
+	0.0711
+	<u>0.5601</u>
=	1.0001

"Quantum" efficiency in the case of a semi-conductor substrate

A grating on a n^+ / p junction

Studied configuration: semi-conductor substrate



Hypothesis

- abrupt junction
- unilateral junction
- conversion efficiency ≈ 1

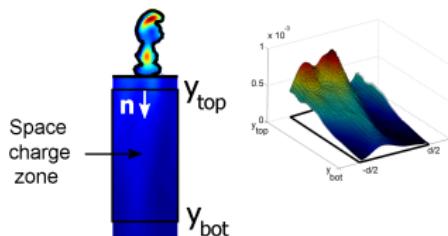
$$\text{QE} = \frac{\text{number of electrons participating to the photo-current}}{\text{number of incident photons}}$$

“Quantum” efficiency in the case of a semi-conductor substrate

A grating on a n^+ / p junction

Hypothesis

- abrupt junction
- unilateral junction
- conversion efficiency ≈ 1



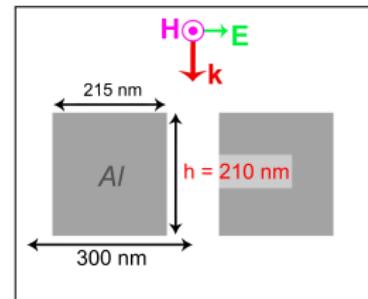
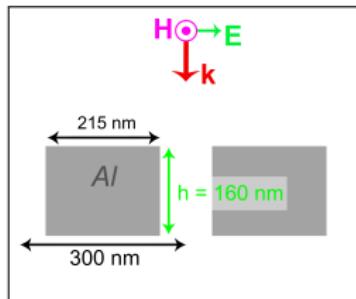
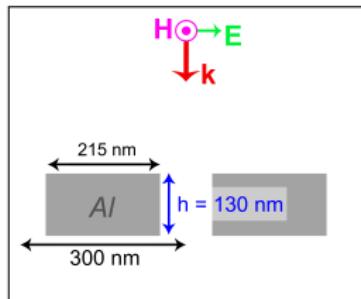
$$\text{QE}(\lambda) = \frac{\int_{-d/2}^{d/2} \int_{y_{bot}}^{y_{top}} -\text{div}(\mathbf{S}_{moy}(x, y, \lambda)) dx dy}{\int_{-d/2}^{d/2} \mathbf{n} \cdot \mathbf{S}_0 dx}$$

$|\mathbf{S}_{moy}|$, norm of Poynting vector

Demo!

<https://gitlab.onelab.info/doc/models/tree/master/DiffractionGratings/>

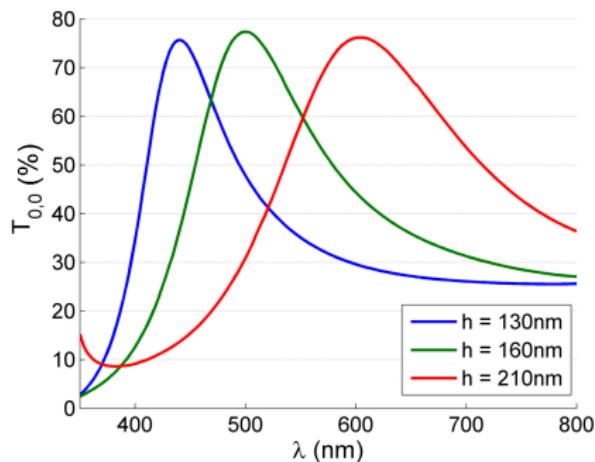
Aluminum color filters



Let us map $|H_z|^2(x, y, \lambda)$ vs $T_{0,0}(\lambda)$

Aluminum color filters

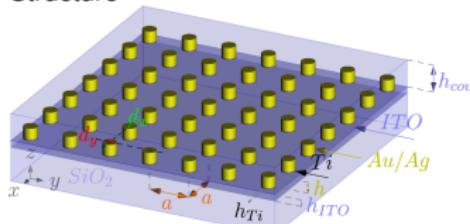
Aluminum color filters



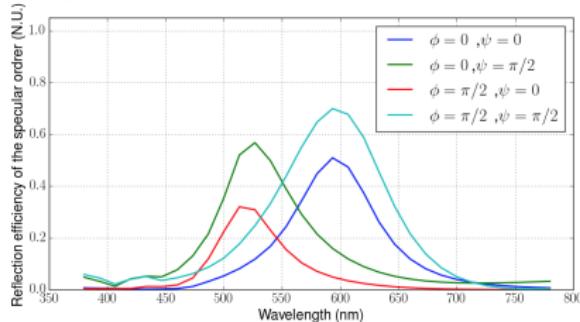
Later...

Frequency selective reflective surface with silver nano-particles¹

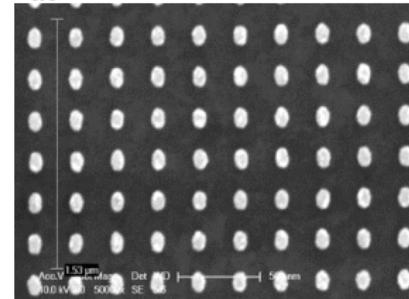
Structure



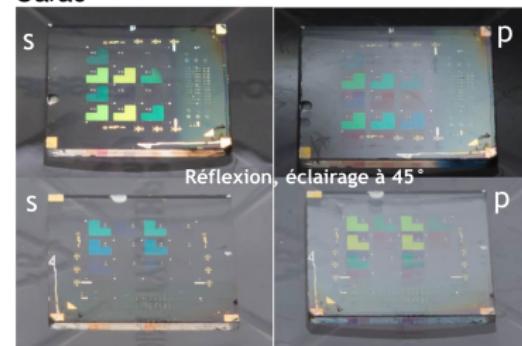
Design - "optimization"



Fab

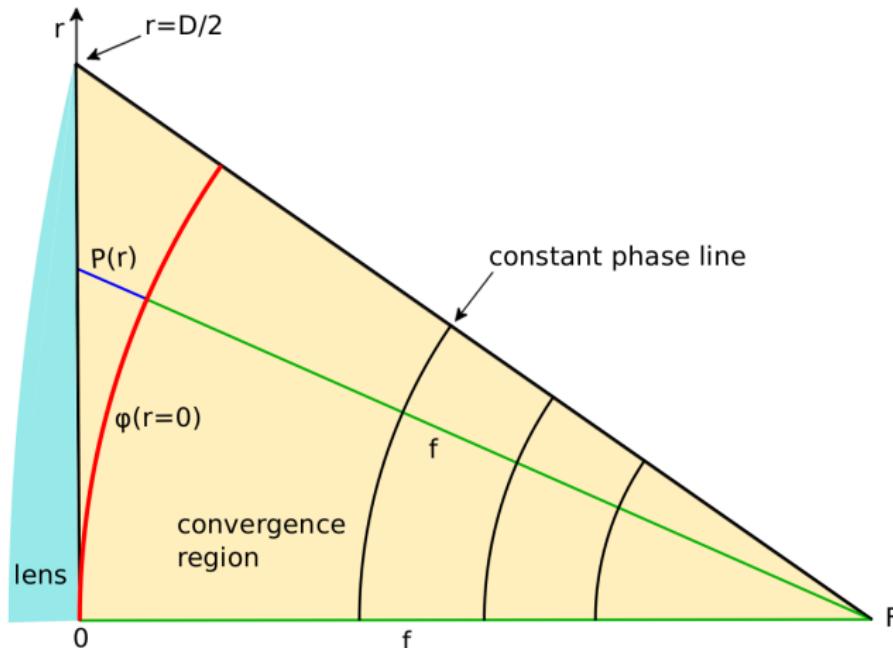


Carac

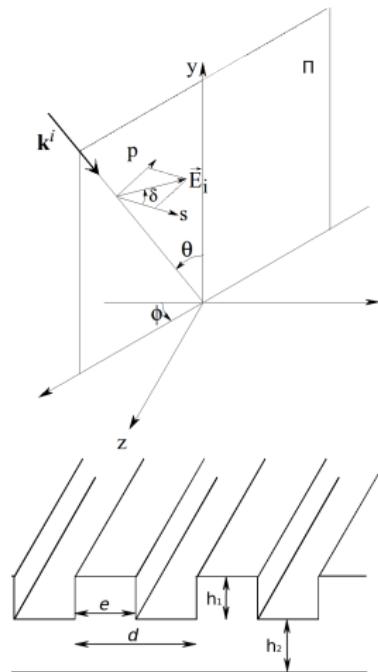


¹ Y. Brûlé, G. Demésy, A.-L. Fehrembach, B. Gralak, E. Popov, G. Tayeb, M. Grangier, D. Barat, H. Berlin, P. Gogol, and B. Dagens, "Design of metallic nanoparticle gratings for filtering properties in the visible spectrum", Appl. Opt. 54, 010359 (2015).

“Metasurfaces”...

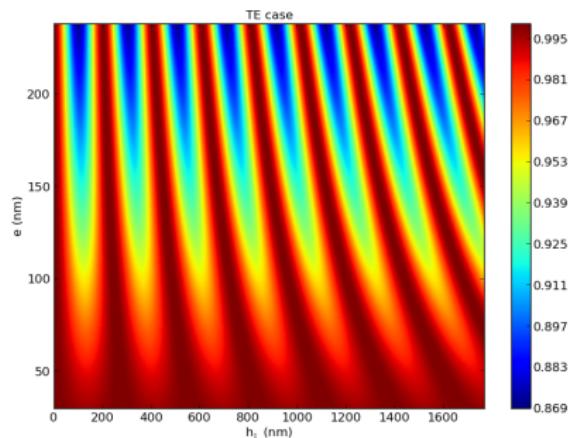
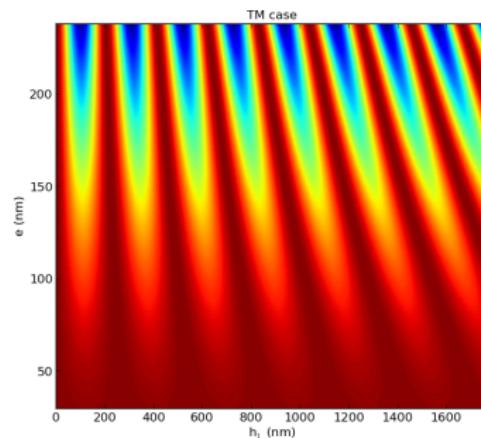
Sub-wavelength phase control¹

“Metasurfaces”...

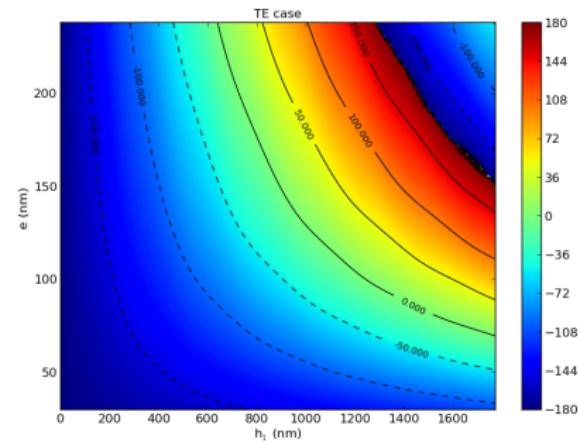
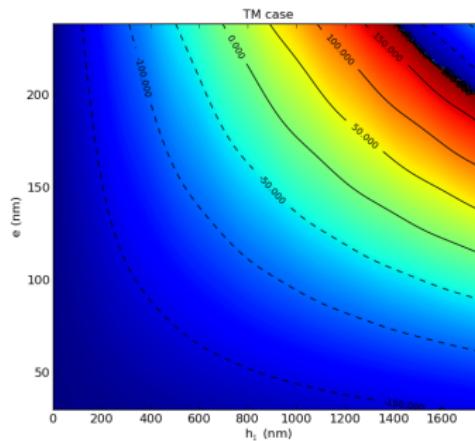
Sub-wavelength phase control¹

“Metasurfaces”...

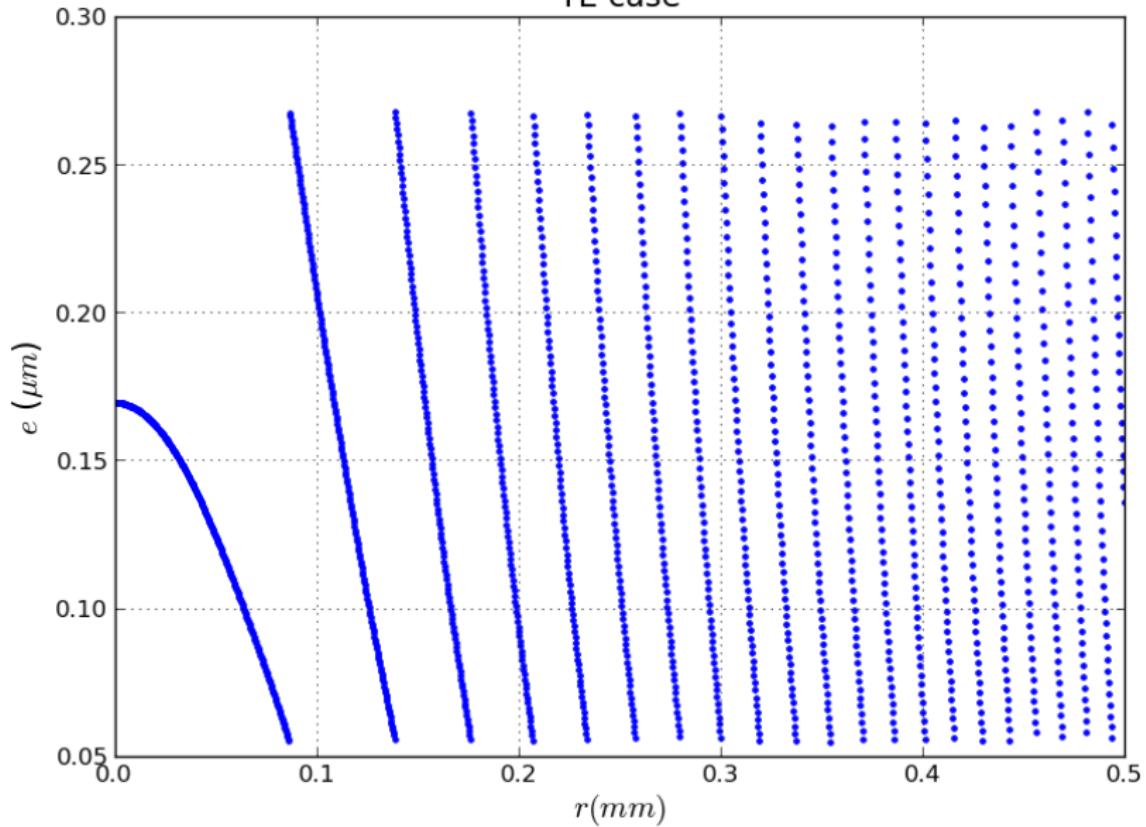
Sub-wavelength phase control



“Metasurfaces”...

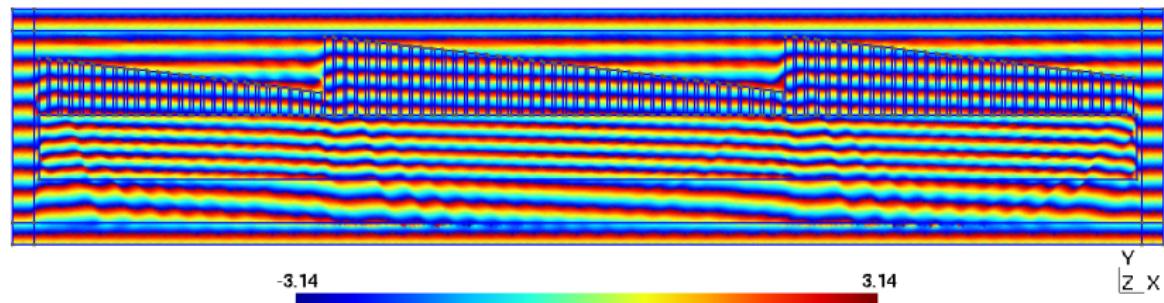
Sub-wavelength phase control¹

TE case



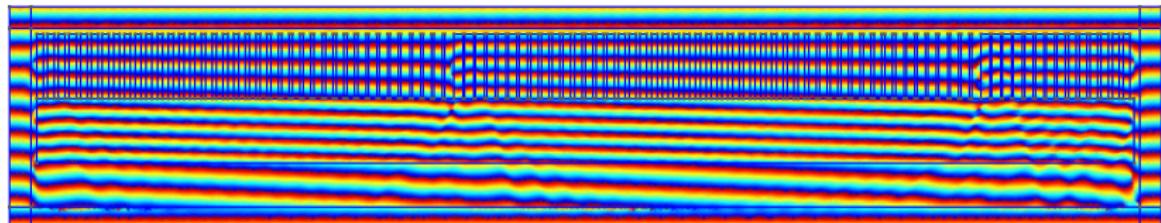
“Metasurfaces”...

Sub-wavelength phase control



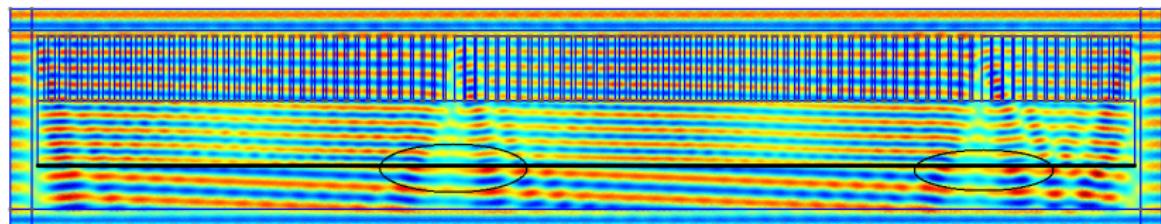
“Metasurfaces”...

Sub-wavelength phase control

A)

-3.14

3.14

Y
Z
X**B)**

-1.54

1.65

Y
Z
X

Conclusion

Solving Maxwell's equations in small but open boxes.

Applications for filtering.

... We are also calculating mode...

The eigenvalue problem

2D p-polarization case: $\mathbf{H} = H_z(x, y)\mathbf{z}$ and $\mathbf{E} = \mathbf{E}(x, y) = E_x(x, y)\mathbf{x} + E_y(x, y)\mathbf{y}$

We are looking for non trivial solution of the source-free Helmholtz equation:

- $\mathcal{L}_e^{3D}(\mathbf{E}) := \underline{\underline{\epsilon}_r}(\mathbf{x}, \underline{\omega})^{-1} \operatorname{curl} (\underline{\mu_r}^{-1} \operatorname{curl} \mathbf{E}) = \left(\frac{\underline{\omega}}{c}\right)^2 \mathbf{E}$
- i.e. the eigenvalues $\underline{\omega}_n$ and associated eigenvectors \mathbf{E}_n of the operator \mathcal{L}_e^{3D}
- $\mathcal{L}_e^{3D}(\mathbf{E})$ depends of $\underline{\omega}$ we are looking for!

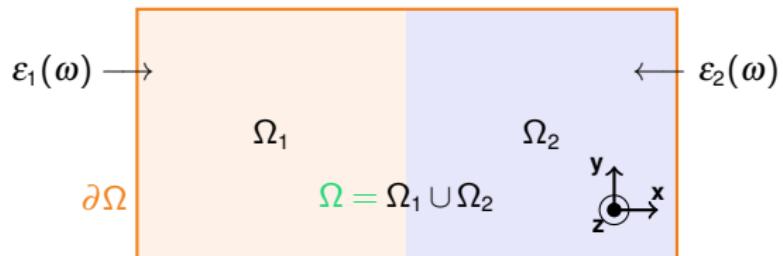
Two possible solutions:

- Physical linearization: construction of an augmented system where auxiliary fields are added to (\mathbf{E}, \mathbf{H}) ¹
- Numerical linearization²

¹Y. Brûlé, B. Gralak, and G. Demésy, "Calculation and analysis of the complex band structure of dispersive and dissipative two-dimensional photonic crystals", J. Opt. Soc. Am. B **33**, 691-702 (2016)

²J. E. Roman, C. Campos, E. Romero and A. Tomas. SLEPc Users Manual. Tech. Rep. DSIC-II/24/02 - Revision 3.7, Universitat Politècnica de València, 2016.

Toy example: the “bi-Drude Bi-box”



Dispersion relation: Semi-analytical transcendental equation

- s-polarization: $\frac{1}{\beta_1(\omega_n)} \tan[\beta_1(\omega_n)a] + \frac{1}{\beta_2(\omega_n)} \tan[\beta_2(\omega_n)a] = 0$
- p-polarization: $\frac{\beta_1(\omega_n)}{\varepsilon_1(\omega_n)} \tan[\beta_1(\omega_n)a] + \frac{\beta_2(\omega_n)}{\varepsilon_2(\omega_n)} \tan[\beta_2(\omega_n)a] = 0$

With β_1 and β_2 two complex functions of ω :

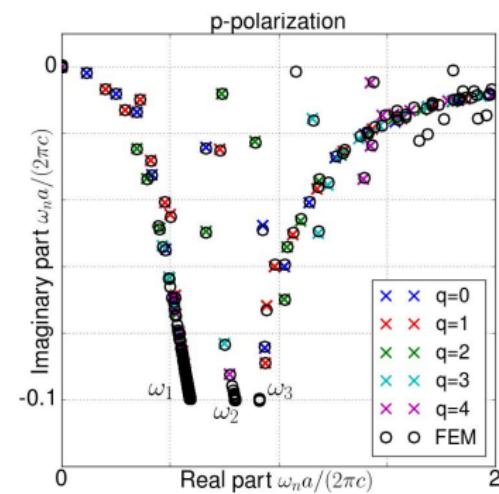
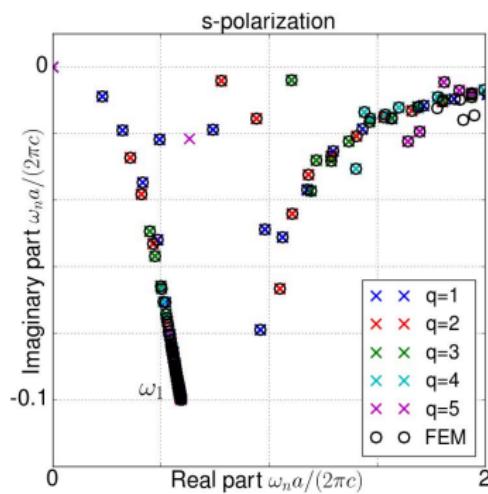
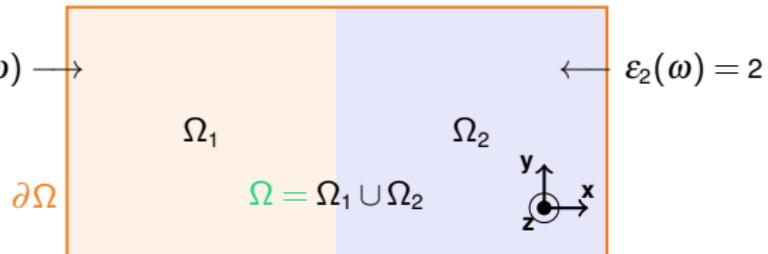
$$\beta_j(\omega_n) = \sqrt{\frac{\omega_n^2}{c^2} \varepsilon_j(\omega_n) - \frac{q^2 \pi^2}{a^2}} \quad \text{with } j \in \{1, 2\}, \quad (3)$$

where $q \in \mathbb{N}^*$ for s-polarization and $q \in \mathbb{N}$ for p-polarization.

Toy example: the “bi-Drude Bi-box”

$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$
and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$

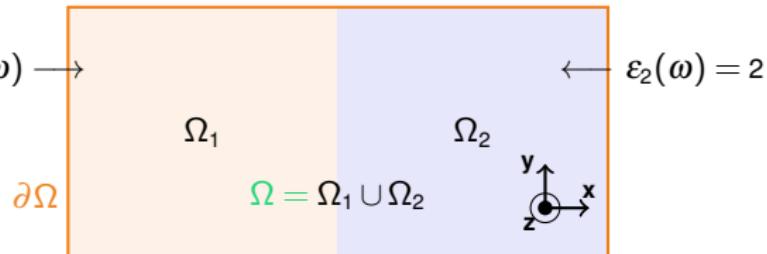


Toy example: the “bi-Drude Bi-box”

$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

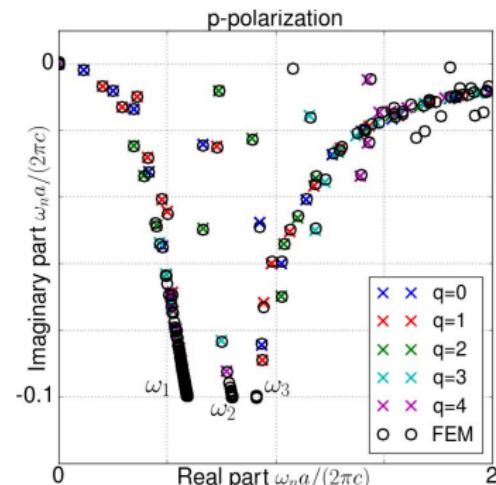
with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



- $\omega_1 : |\varepsilon_1(\omega)| \rightarrow \infty$
- $\omega_2 : \varepsilon_1(\omega_2) = -\varepsilon_2$ plasmons
- $\omega_3 : \varepsilon_1(\omega_3) = 0$ spurious
- high frequencies: $\varepsilon_1(\omega) \rightarrow \varepsilon_{\infty}$

p-polarization modes:

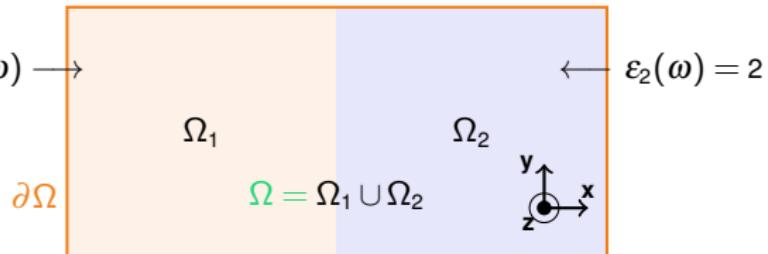


Toy example: the “bi-Drude Bi-box”

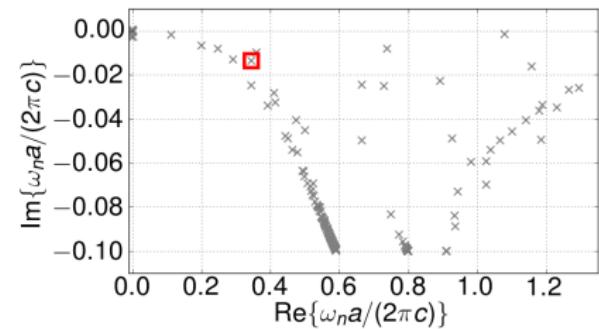
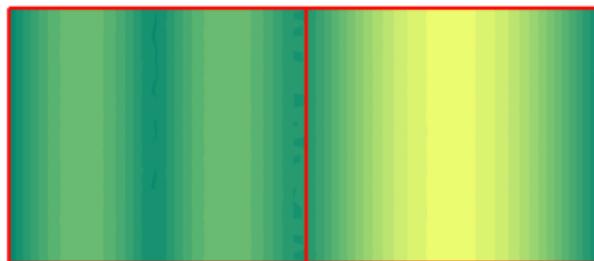
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

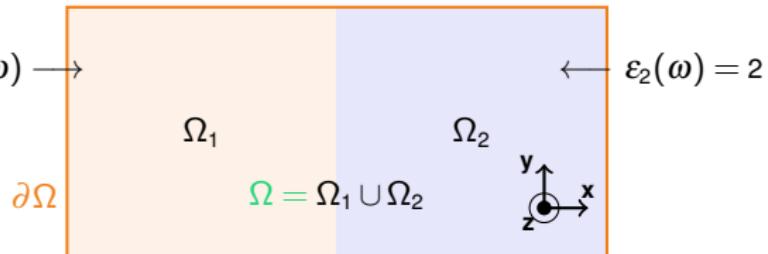


Toy example: the “bi-Drude Bi-box”

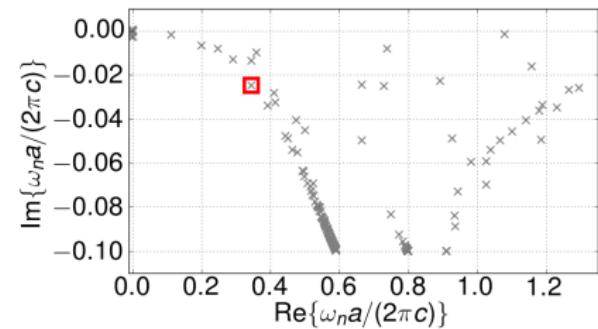
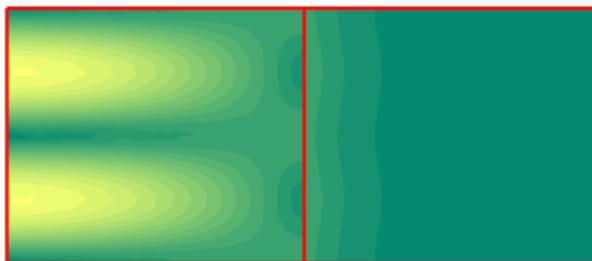
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

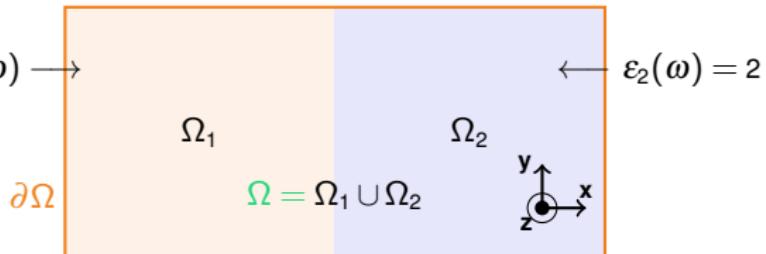


Toy example: the “bi-Drude Bi-box”

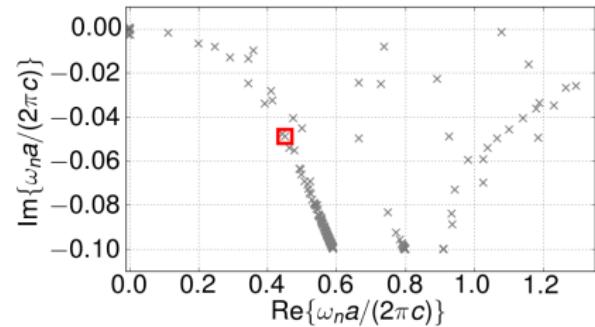
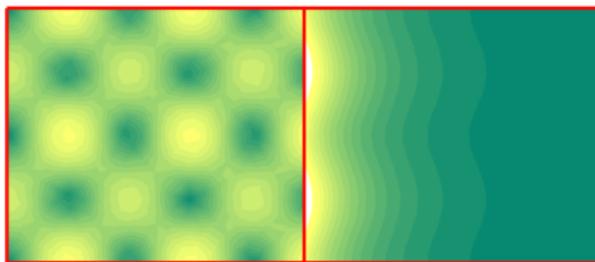
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

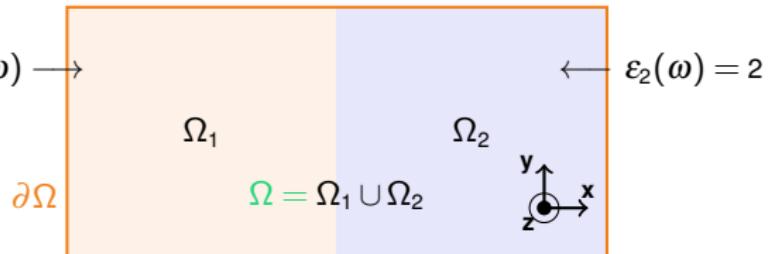


Toy example: the “bi-Drude Bi-box”

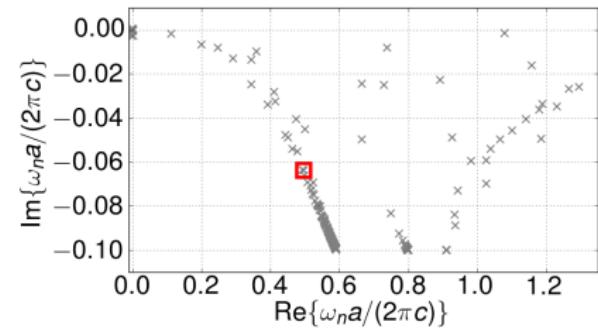
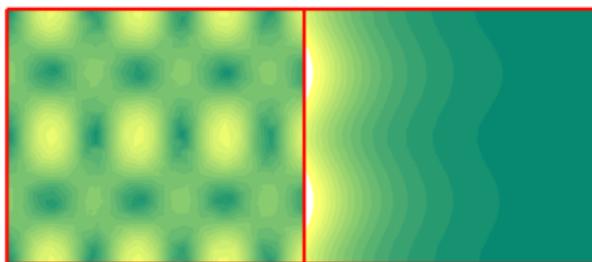
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

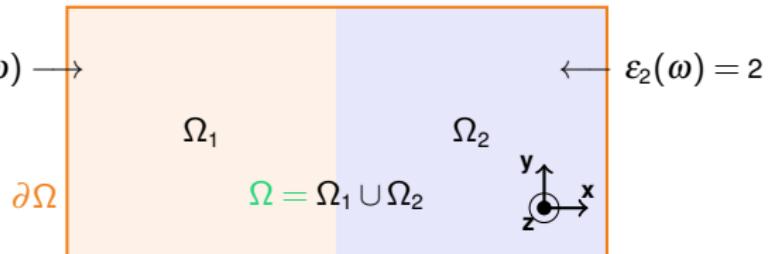


Toy example: the “bi-Drude Bi-box”

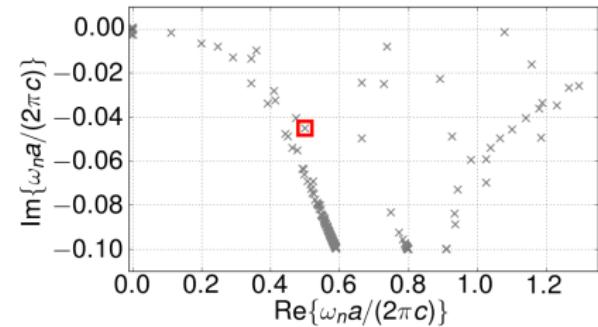
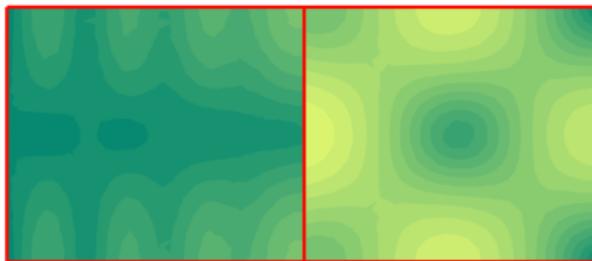
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

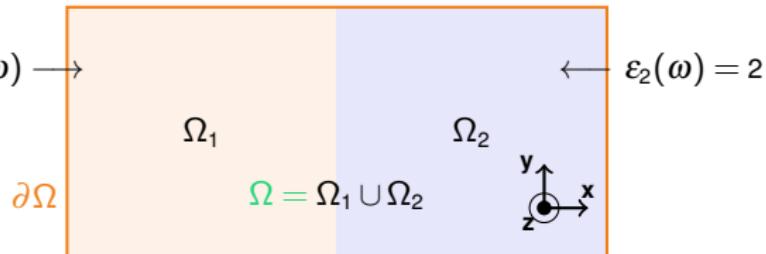


Toy example: the “bi-Drude Bi-box”

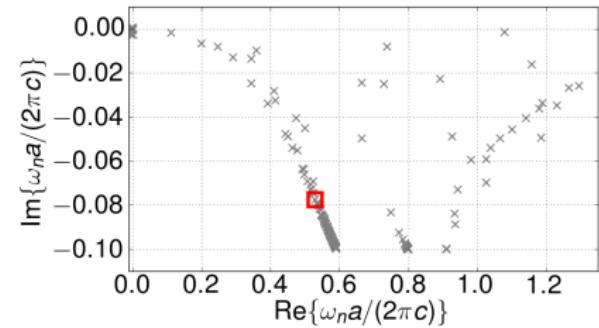
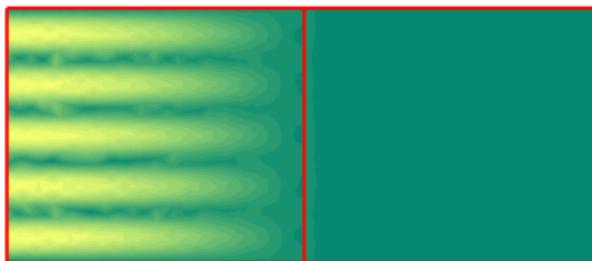
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

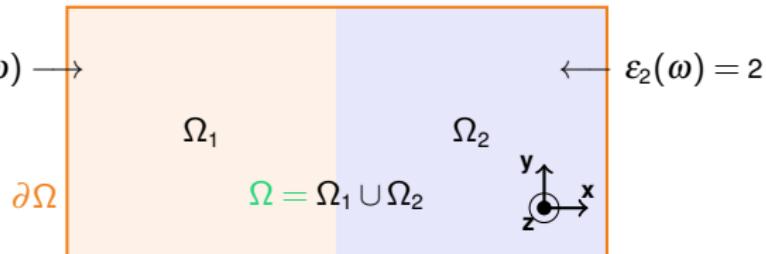


Toy example: the “bi-Drude Bi-box”

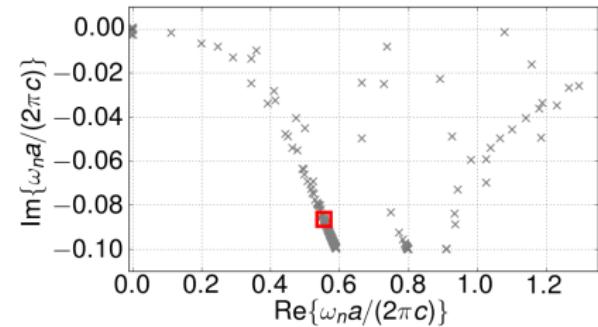
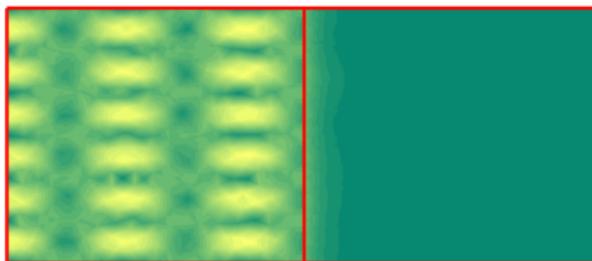
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

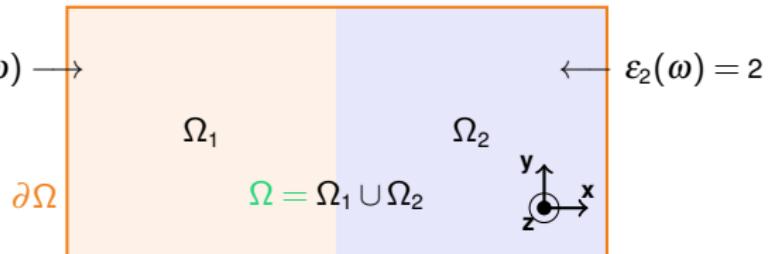


Toy example: the “bi-Drude Bi-box”

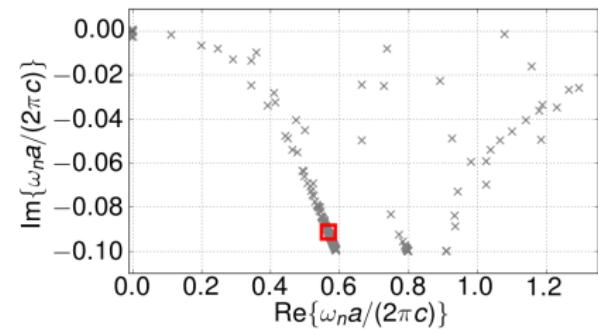
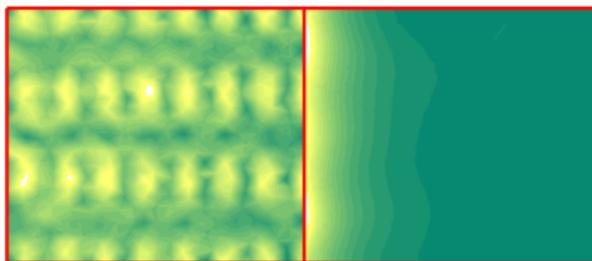
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

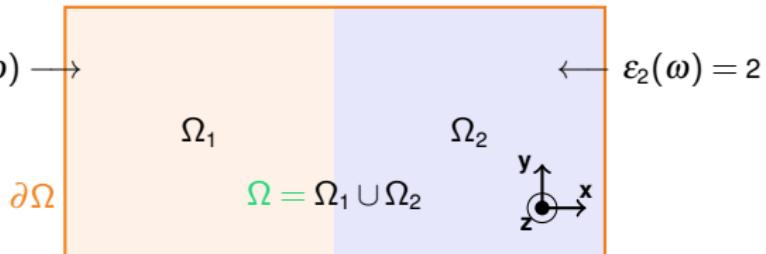


Toy example: the “bi-Drude Bi-box”

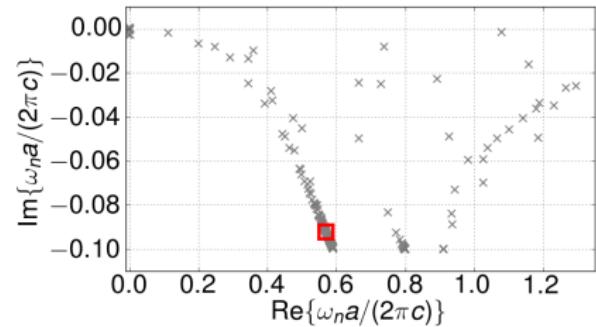
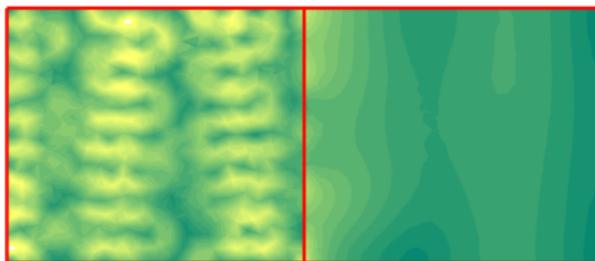
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

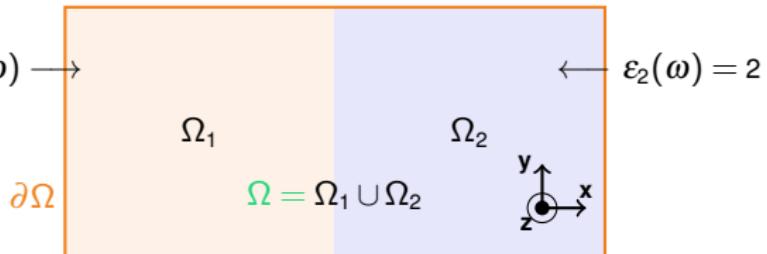


Toy example: the “bi-Drude Bi-box”

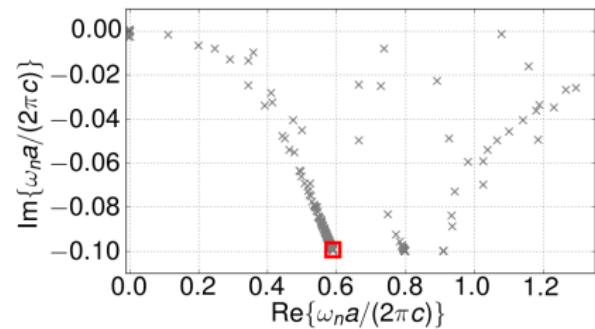
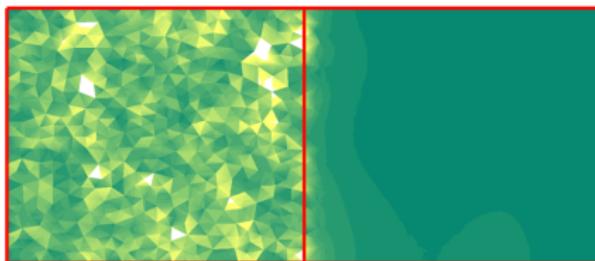
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

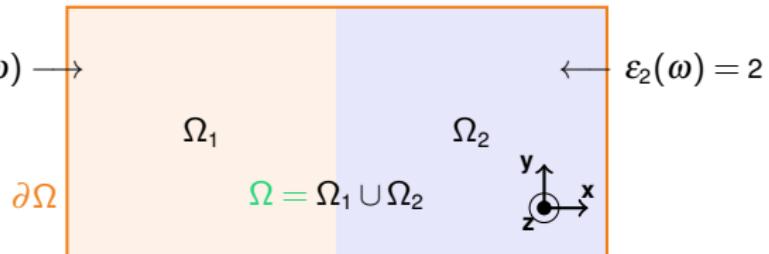


Toy example: the “bi-Drude Bi-box”

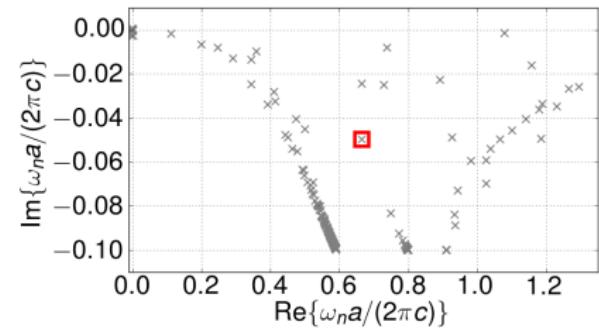
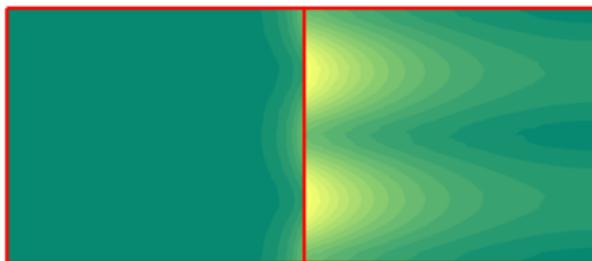
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

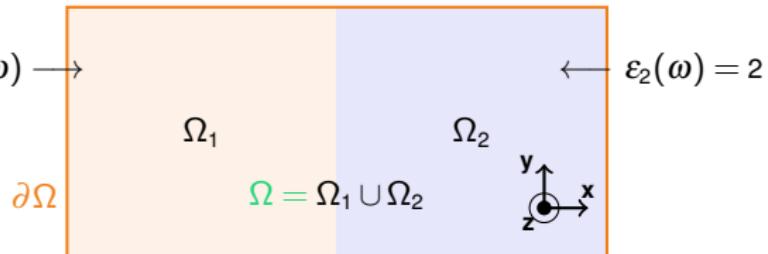


Toy example: the “bi-Drude Bi-box”

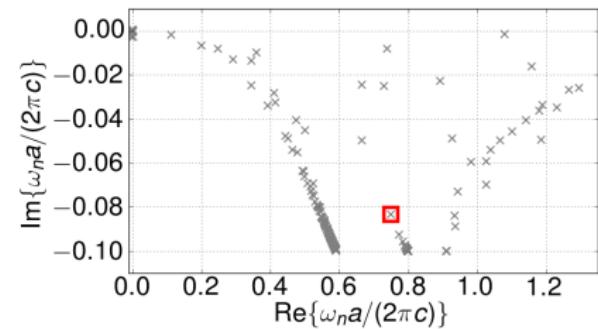
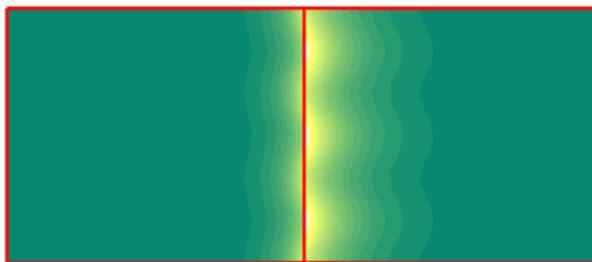
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

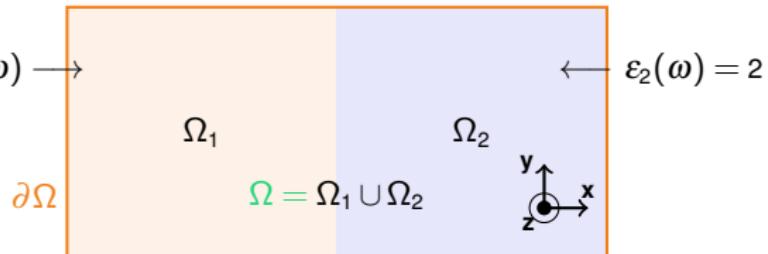


Toy example: the “bi-Drude Bi-box”

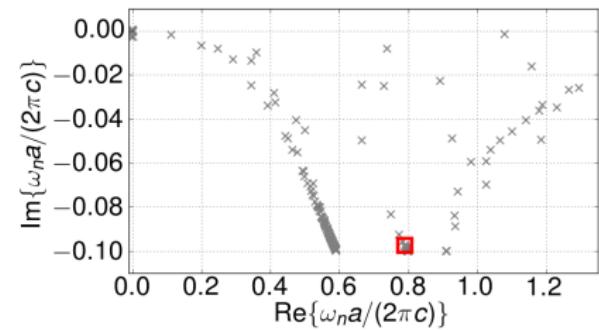
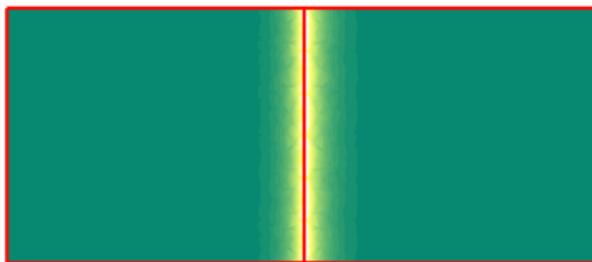
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

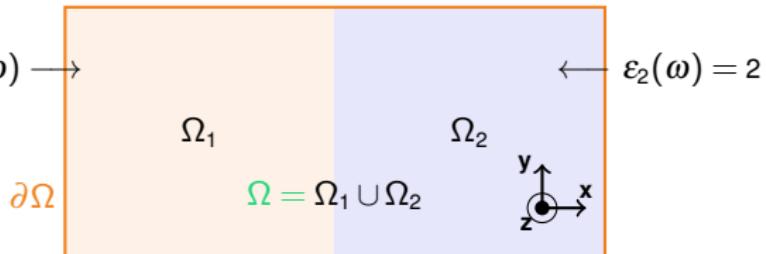


Toy example: the “bi-Drude Bi-box”

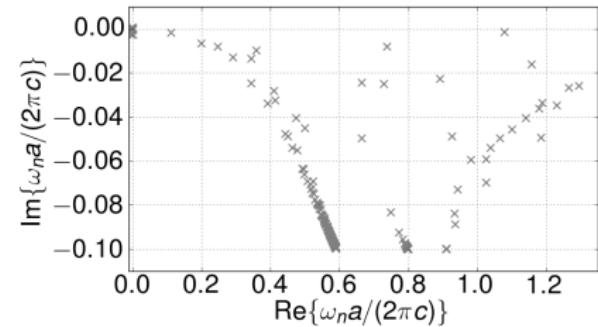
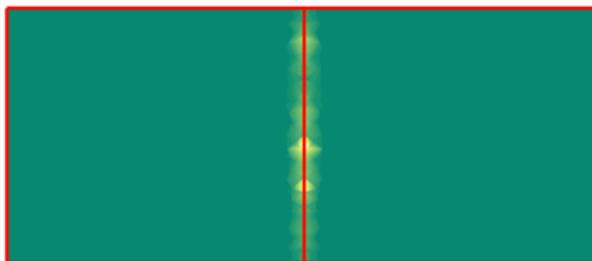
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

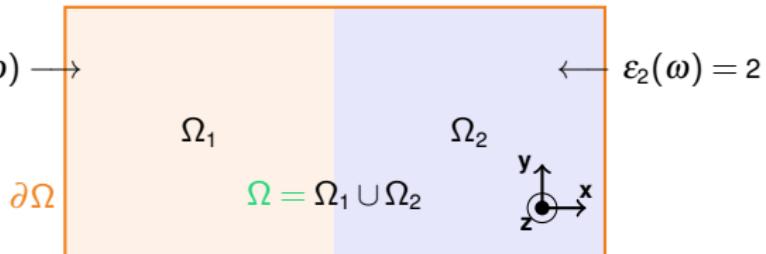


Toy example: the “bi-Drude Bi-box”

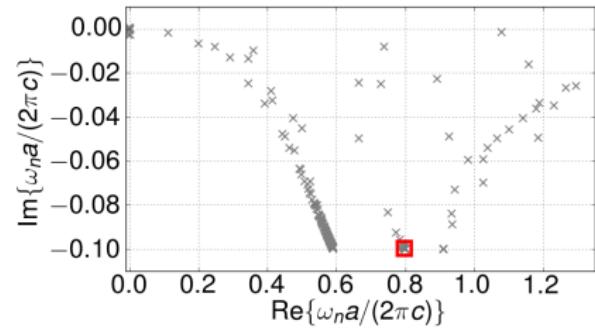
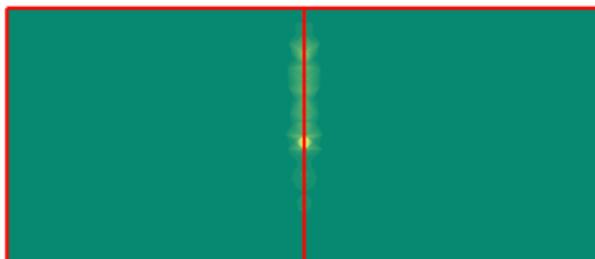
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

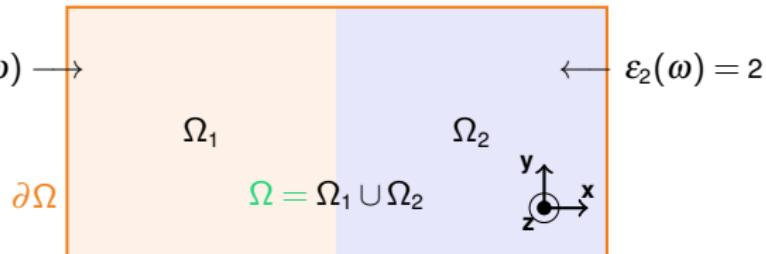


Toy example: the “bi-Drude Bi-box”

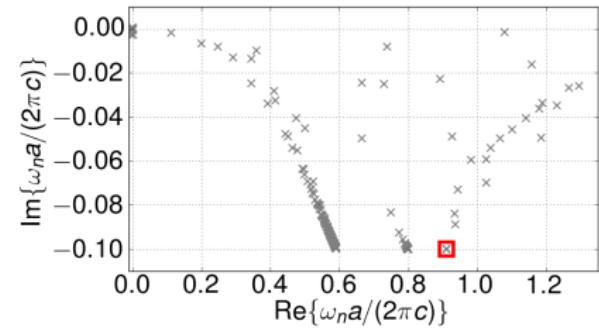
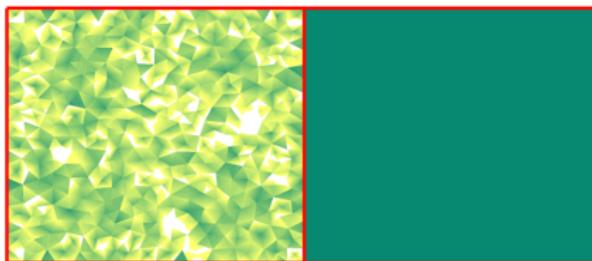
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

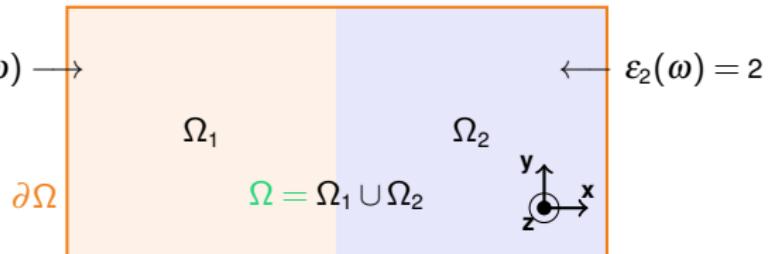


Toy example: the “bi-Drude Bi-box”

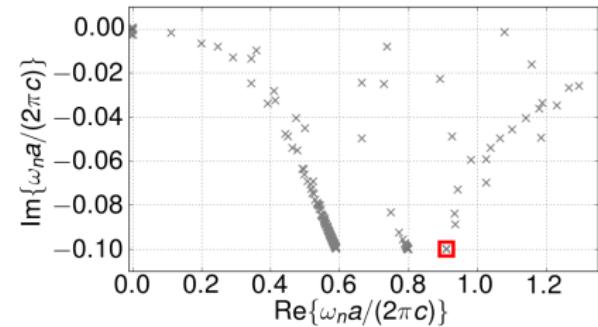
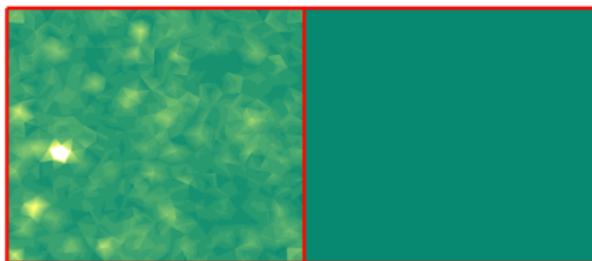
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

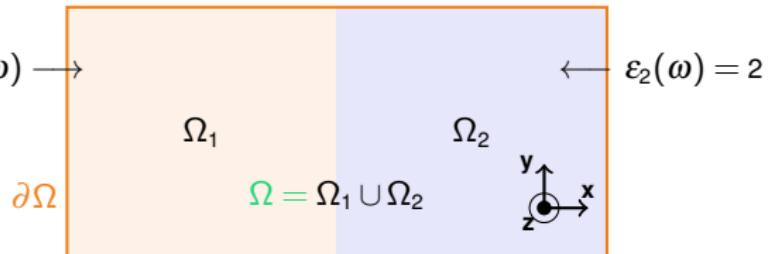


Toy example: the “bi-Drude Bi-box”

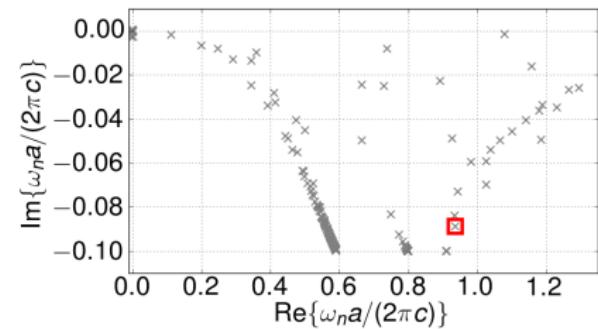
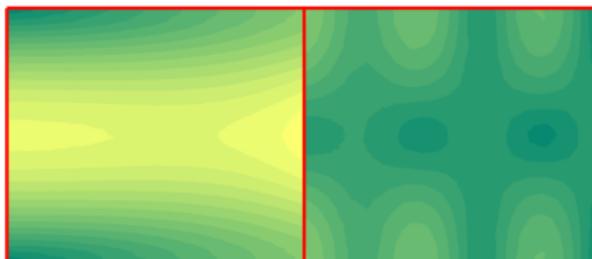
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

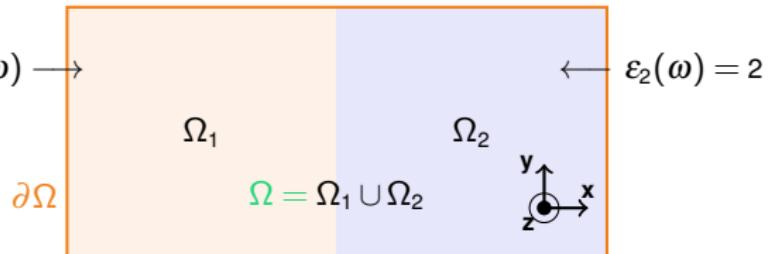


Toy example: the “bi-Drude Bi-box”

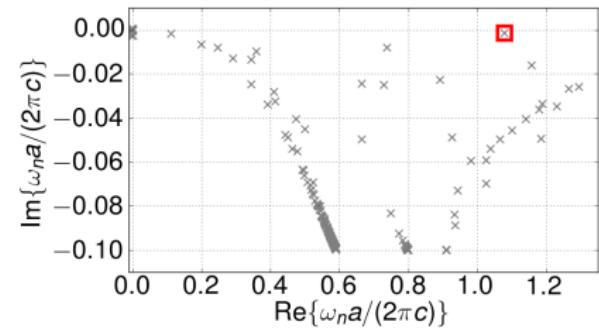
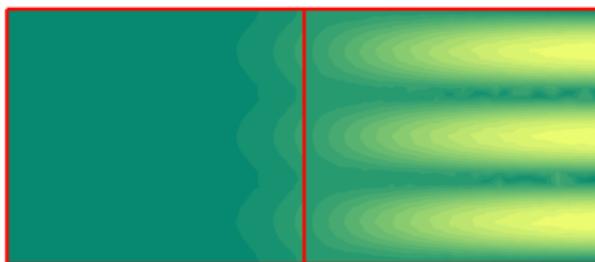
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i\omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



p-polarization modes:

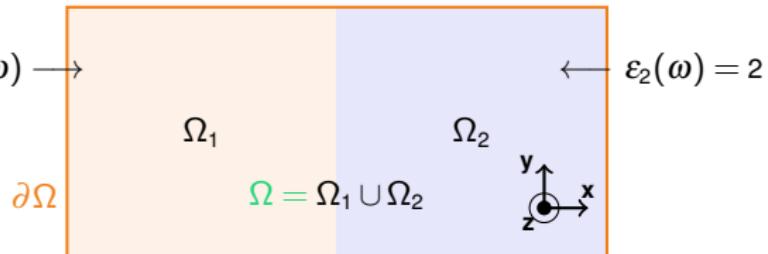


Toy example: the “bi-Drude Bi-box”

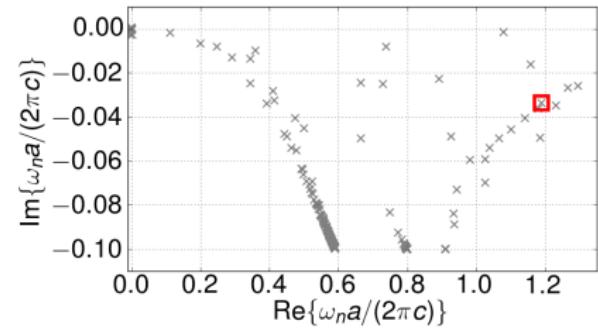
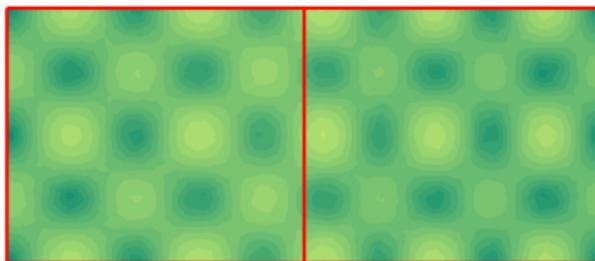
$$\varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + \gamma i \omega - \omega_0^2} = \varepsilon_1(\omega) \rightarrow$$

with $\varepsilon_{\infty} = 3.0$, $\frac{\omega_p a}{2\pi c} = 1.2$

and $\frac{\gamma a}{2\pi c} = 0.2$, $\frac{\omega_0 a}{2\pi c} = 0.6$



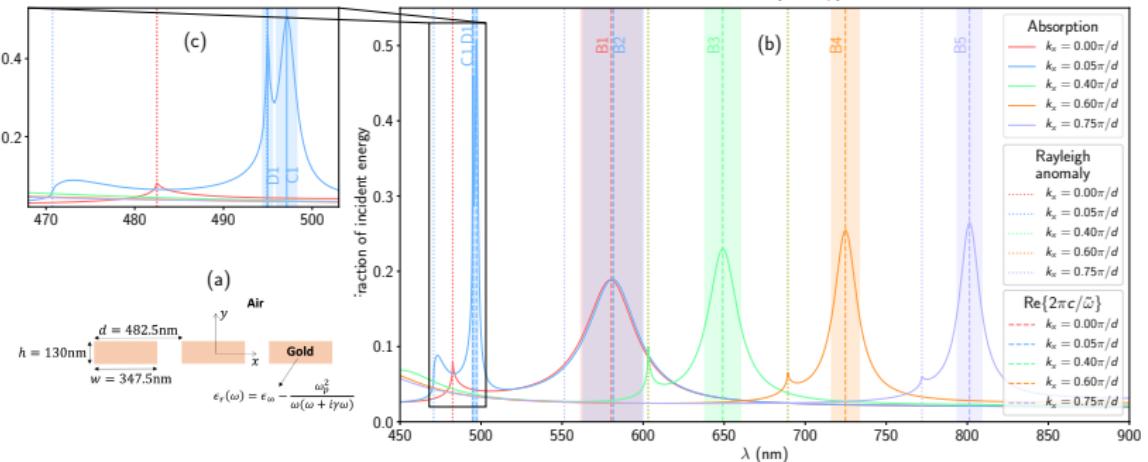
p-polarization modes:



Resonances in frequency dispersive media (ANR RESONANCE)

with F. Zolla, A. Nicolet and B. Gralak (PI: P. Lalanne, LP2N)

Dispersion relation of a grating made of a Drude metal: $\epsilon_r(\omega) = \epsilon_\infty - \frac{\omega_p^2}{\omega(\omega+i\gamma)}$

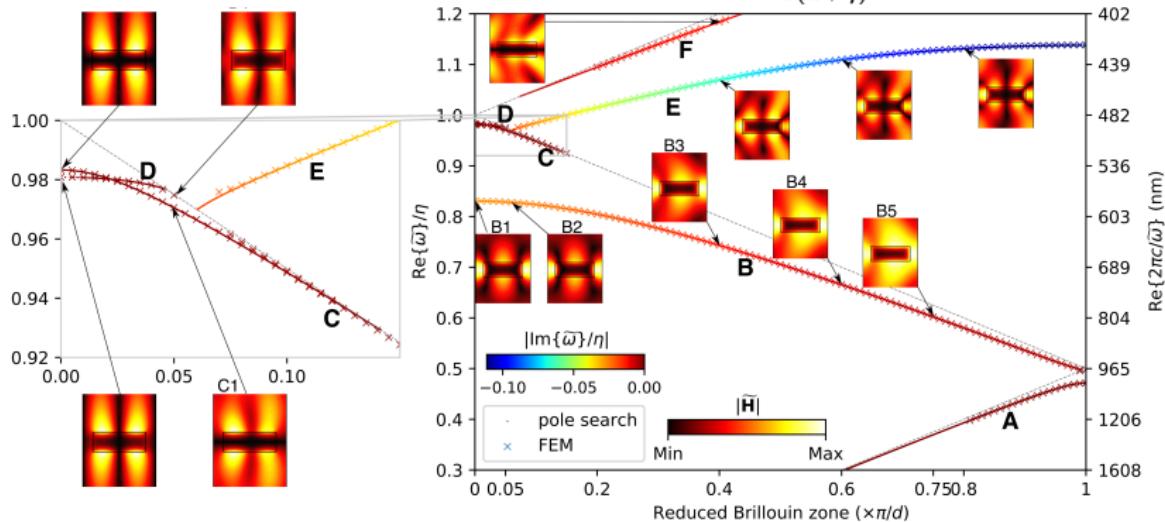


G. Demény et al. <https://arxiv.org/abs/1802.02363v1>

Resonances in frequency dispersive media (ANR RESONANCE)

with F. Zolla, A. Nicolet and B. Gralak (PI: P. Lalanne, LP2N)

Dispersion relation of a grating made of a Drude metal: $\epsilon_r(\omega) = \epsilon_\infty - \frac{\omega_p^2}{\omega(\omega+i\gamma)}$

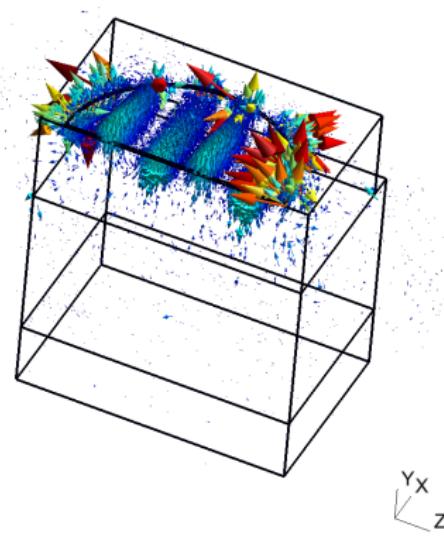
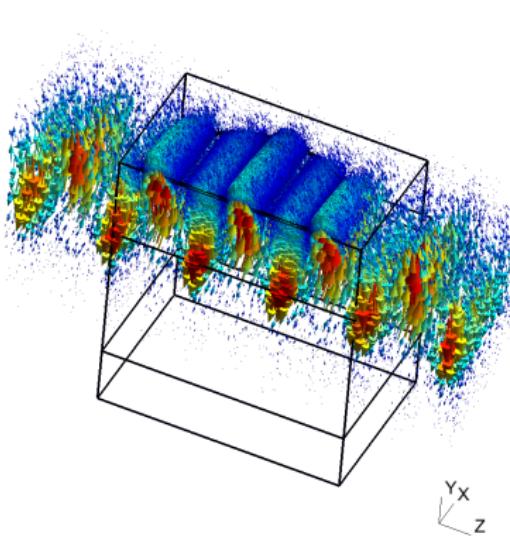


G. Demésy et al. <https://arxiv.org/abs/1802.02363v1>

Structured waveguides (ANR LOUISE)

with G. Renverze (PI: V. Nazabal, Institut des Sciences Chimiques de Rennes)

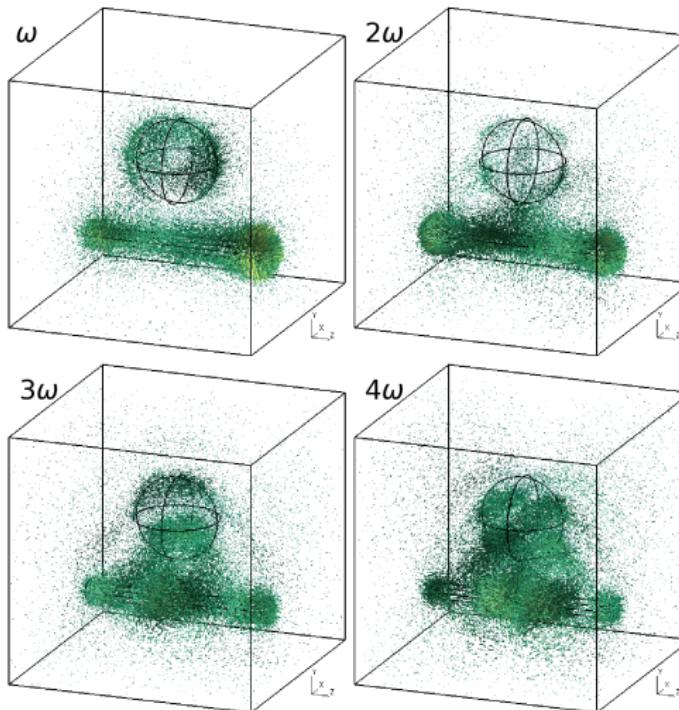
A leaky mode...as an incident field for a scattering problem.



Oscillating particle

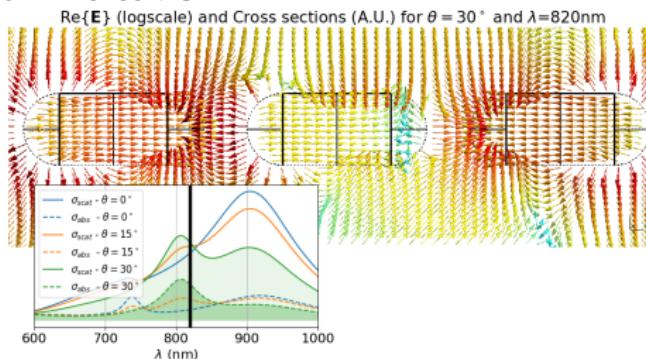
Mauricio Garcia-Vergara's PhD

Oscillating charge: A multiharmonic problem



Other examples (scattering)

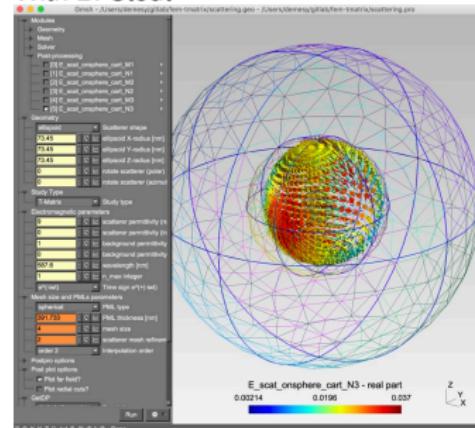
With N. Bonod + UTT



A trimer in a photoresist.

ACS Photonics, 2018, 5 (3), pp 918-928

With B. Stout

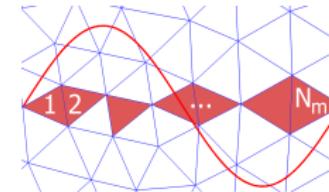
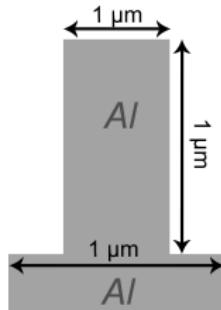


T matrix of an arbitrary scatterer. GNU Model.

<https://arxiv.org/abs/1802.00596v2>

Metallic grating academical case

Comparison to the results of an independent modal method (FMM)

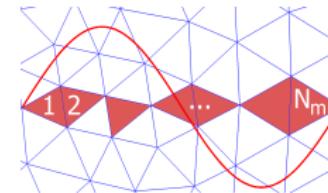
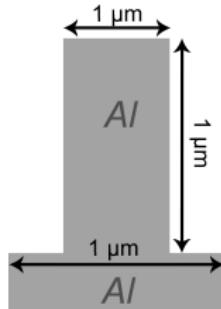


N _M	R ₀ TM	R ₀ ^{TE}
4	0.7336765	0.8532342
6	0.7371302	0.8456592
8	0.7347466	0.8482817
10	0.7333739	0.8500710
12	0.7346569	0.8494844
14	0.7341944	0.8483238
16	0.7342714	0.8484774
Result given by Granet <i>et al.</i> ¹	0.7342789	0.8484781

¹G. Granet, J. Opt. Soc. Am. A, **16**(10), 1999.

Metallic grating academical case

Comparison to the results of an independent modal method (FMM)

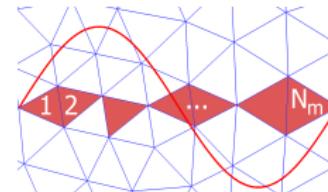
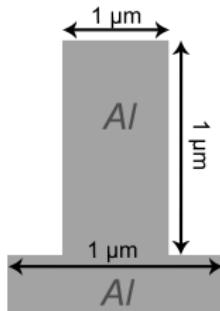


N _M	R ₀ TM	R ₀ ^{TE}
4	0.7336765	0.8532342
6	0.7371302	0.8456592
8	0.7347466	0.8482817
10	0.7333739	0.8500710
12	0.7346569	0.8494844
14	0.7341944	0.8483238
16	0.7342714	0.8484774
Result given by Granet <i>et al.</i> ¹		0.7342789 0.8484781

¹G. Granet, J. Opt. Soc. Am. A, **16**(10), 1999.

Metallic grating academical case

Comparison to the results of an independent modal method (FMM)



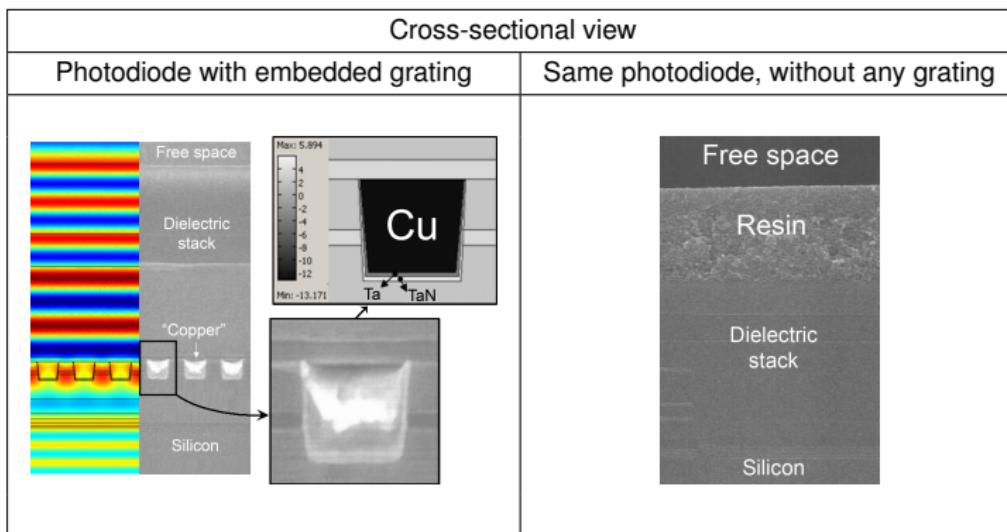
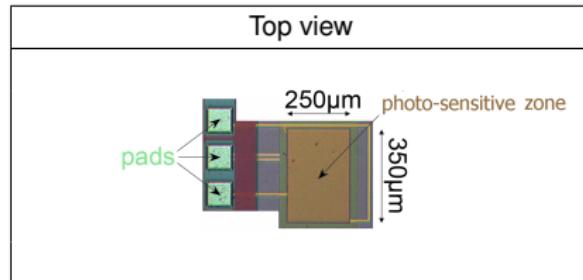
N _M	R ₀ TM	R ₀ ^{TE}
4	0.7336765	0.8532342
6	0.7371302	0.8456592
8	0.7347466	0.8482817
10	0.7333739	0.8500710
12	0.7346569	0.8494844
14	0.7341944	0.8483238
16	0.7342714	0.8484774
Result given by Granet <i>et al.</i> ¹		0.7342789 0.8484781

¹G. Granet, J. Opt. Soc. Am. A, **16**(10), 1999.

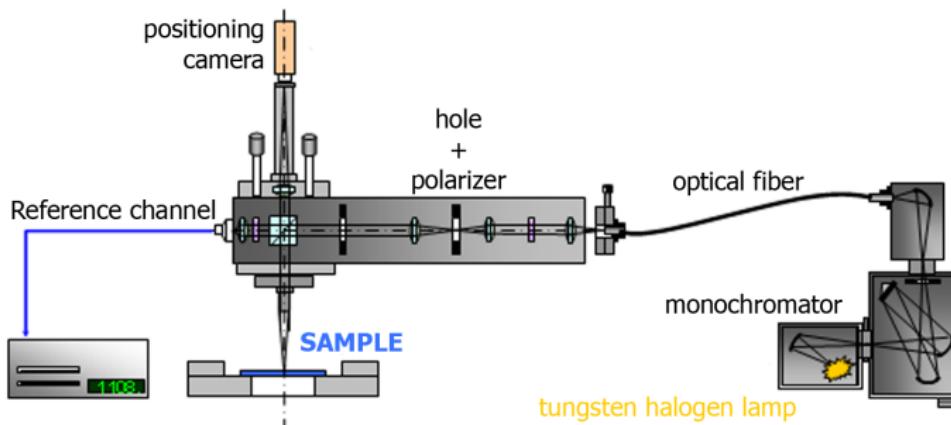
Part 3

- 1** Introductory example: Miniaturization of CMOS color sensors and spectral filtering
- 2** Finite element modeling
- 3** Demo!
- 4** Selected applications
- 5** If I have some time left...

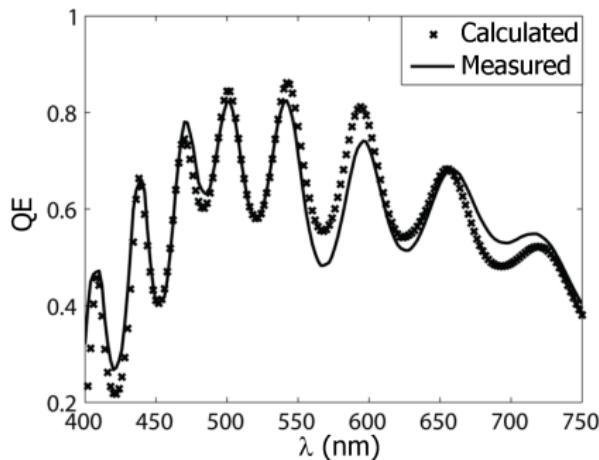
Test Structures presentation



Optical measurement bench



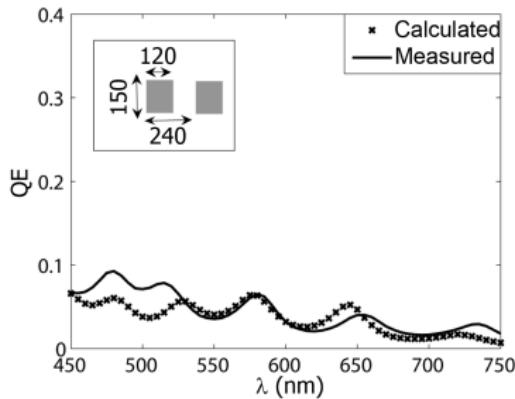
Photodiode toped with a dielectric multilayered stack



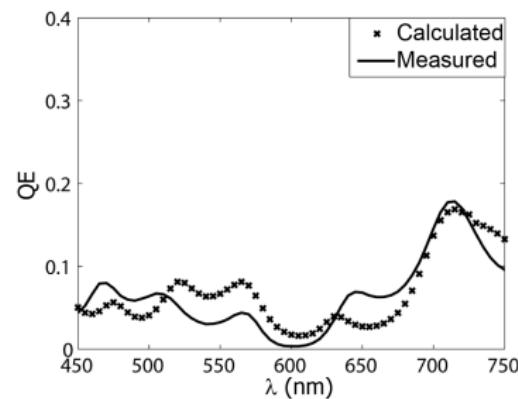
- Very good agreement without any adjustment parameter, provided the precise knowing of:
 - The thickness of each layer (SEM views of cross-sections)
 - The dispersion of each material (ellipsometric measurements)
- Validates both:
 - the use of the measured $\epsilon(\lambda)$, on which is based the ancillary problem,
 - the validity of the approximation of QE calculation.

Photodiode with an embedded copper grating¹

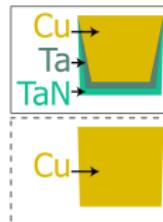
TM CASE



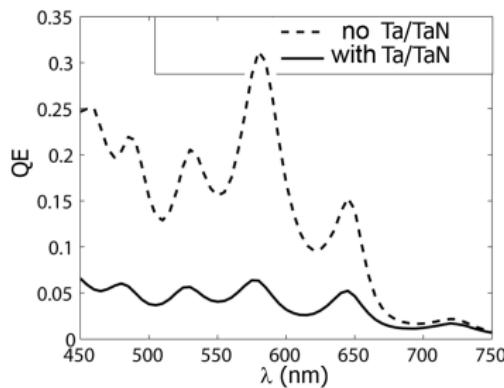
TE CASE



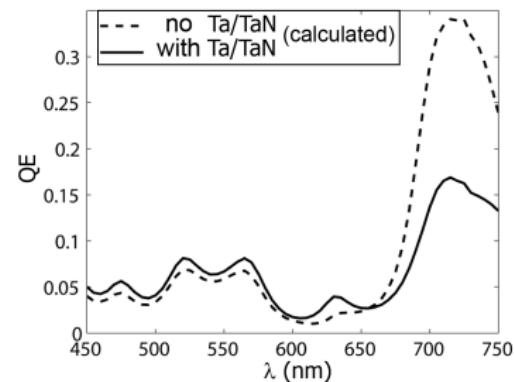
¹ Demésy *et al.*, Optical Engineering **48**, p.058002 (may 2009)

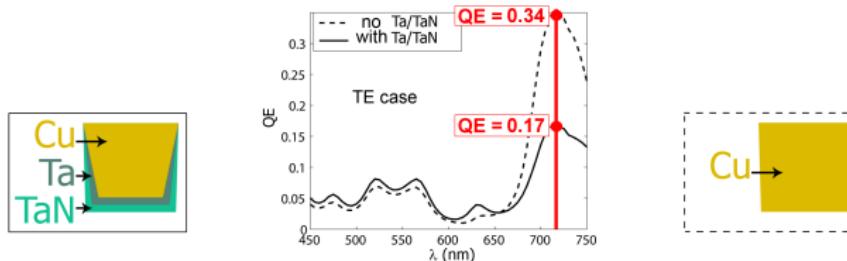
Photodiode with an embedded copper grating¹

TM CASE

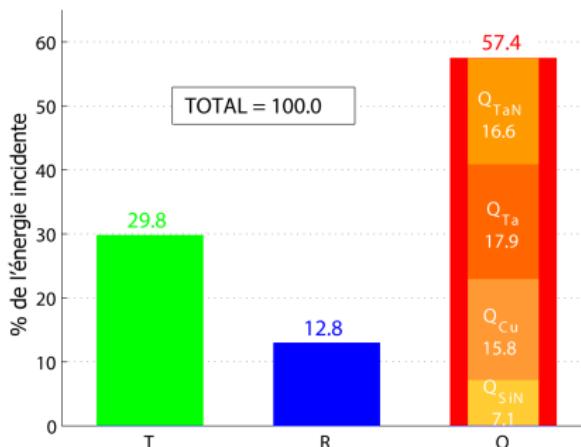


TE CASE

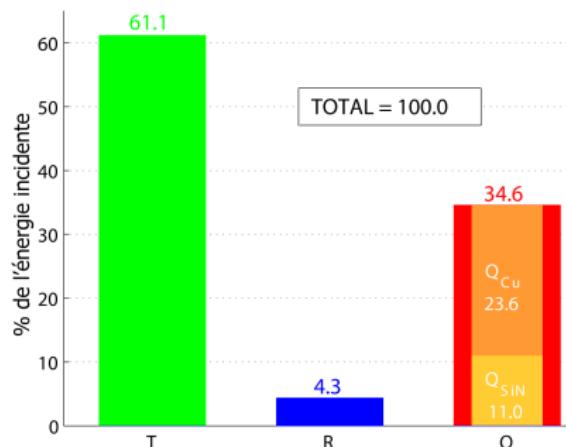
¹ Demésy *et al.*, Optical Engineering **48**, p.058002 (may 2009)

Energy balance – TE case – $\lambda = 720 \text{ nm}$ 

With Ta/TaN barrier

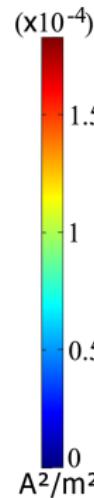
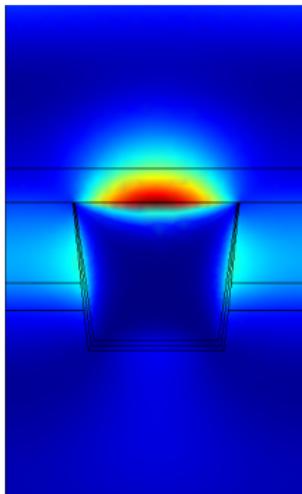


Without Ta/TaN barrier

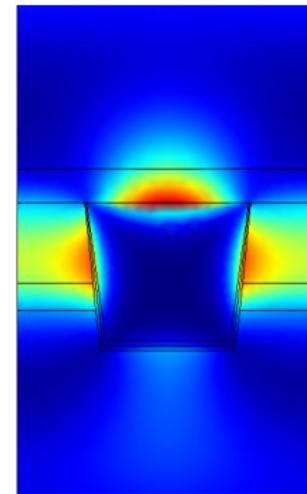


Field maps – TE case – $\lambda = 720 \text{ nm}$

With Ta/TaN barrier



Without Ta/TaN barrier



$$|H_z|^2$$